

## CR-05 - Goals and Outcomes

### Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Springfield has completed its first year of implementation of the strategic plan it created in 2015. The City has met or exceeded its goals for many activities over both the FY16 program year and over the first year of the five year consolidated planning period.

During the 2015-2019 planning period, the City significantly advanced major initiatives with investment of CDBG and HOME funds.

See Attachment 2

### Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected - Strategic Plan	Actual - Strategic Plan	Percent Complete	Expected - Program Year	Actual - Program Year	Percent Complete
Community Development	Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	45000	22675	50.39%	9000	22675	251.94%
Community Development	Non-Housing Community Development	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	100000	8121	8.12%	20000	8121	40.61%

Community Development	Non-Housing Community Development	CDBG: \$	Buildings Demolished	Buildings	50	19	38.00%	10	19	190.00%
Community Development	Non-Housing Community Development	CDBG: \$	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	5000	56590	1,131.80%	1000	56590	5,659.00%
Community Development	Non-Housing Community Development	CDBG: \$	Other	Other	1945	48945	2,516.45%	389	48945	12,582.26%
Economic Development	Non-Housing Community Development Economic Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	25	6	24.00%	5	6	120.00%
Economic Development	Non-Housing Community Development Economic Development	CDBG: \$	Jobs created/retained	Jobs	25	12	48.00%	5	12	240.00%
Economic Development	Non-Housing Community Development Economic Development	CDBG: \$	Businesses assisted	Businesses Assisted	50	14	28.00%	10	14	140.00%
Economic Development	Non-Housing Community Development Economic Development	CDBG: \$	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	10	0	0.00%	2	0	0.00%

Homeless and Special Needs Population	Non-Homeless Special Needs	HOPWA: \$ / HOME: \$ / ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	700	180	25.71%	141	180	127.66%
Homeless and Special Needs Population	Non-Homeless Special Needs	HOPWA: \$ / HOME: \$ / ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	5000	1096	21.92%	1000	1096	109.60%
Homeless and Special Needs Population	Non-Homeless Special Needs	HOPWA: \$ / HOME: \$ / ESG: \$	Homelessness Prevention	Persons Assisted	1750	514	29.37%	350	514	146.86%
Neighborhood Stabilization and Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Rental units constructed	Household Housing Unit	2	0	0.00%	1	0	0.00%

Neighborhood Stabilization and Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Rental units rehabilitated	Household Housing Unit	50	23	46.00%	10	23	230.00%
Neighborhood Stabilization and Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Homeowner Housing Added	Household Housing Unit	7	1	14.29%	1	1	100.00%
Neighborhood Stabilization and Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	100	37	37.00%	22	37	168.18%

Neighborhood Stabilization and Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Direct Financial Assistance to Homebuyers	Households Assisted	400	84	21.00%	75	84	112.00%
Neighborhood Stabilization and Housing	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOME: \$	Other	Other	75	883	1,177.33%	75	883	1,177.33%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

**Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

The City relies on input received from the public in establishing its priorities and goals.

Springfield’s investment of CDBG funds is based on whether the activity falls within a City funding priority, whether the service is an eligible activity, and whether the service or need meets a national objective. In addition, the activity must meet one of the following CDBG objectives: (1) provide a suitable living environment; (2) provide decent housing; and (3) provide economic opportunity.

Resources are allocated to maximize the benefits to residents within target areas. While public infrastructure, parks, open space, and public

facility type projects are exclusively within targeted areas, other strategic investments are made for the benefit of low- and moderate-income residents throughout the City. In order to meet high priority needs of the community such as job creation and economic development, it may be necessary to invest outside low/moderate census tracts.

HOME Investment Partnership Funds (HOME) may be utilized to rehabilitate rental housing, directly assist homebuyers, provide tenant-based rental assistance, and produce and/or preserve affordable housing citywide.

Public investments of federal and other funds will be in direct response to priority needs that are detailed at length throughout the Consolidated Plan. The needs were identified through consultations with community stakeholders, input directly from residents, and assessment of relevant data and existing plans.

ESG and HOPWA funds are targeted to meet the identified needs of the eligible populations within the geographic area. For ESG, the service area is the City of Springfield. Investments are made in accordance with relative priority and statutory spending caps. HOPWA funds are allocated throughout the EMSA which includes the tri-county area. HOPWA funds are allocated primarily to alleviate the housing cost burden for eligible households.

## CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	HOPWA	ESG
White	688	120	68	939
Black or African American	726	56	43	285
Asian	69	4	0	2
American Indian or American Native	10	0	0	6
Native Hawaiian or Other Pacific Islander	6	0	0	6
<b>Total</b>	<b>1,499</b>	<b>180</b>	<b>111</b>	<b>1,238</b>
Hispanic	157	81	58	585
Not Hispanic	1,342	99	53	658

Table 2 – Table of assistance to racial and ethnic populations by source of funds

### Narrative

The people served by all programs were 37% Black, 29% Hispanic, and 2% Asian, while the overall City population of 22% Black, 41% Hispanic and 2% Asian. The likely reason for what seems to be an under-representation of people who are Hispanic among those provided assistance is a reporting issue. City data reflects a large number of people who identify as multi-racial. Program providers report that many people who are Hispanic report this category, instead of reporting both a race and ethnicity. The data is not reflected in the above chart because there is no category for 'multi-racial' in the race category, and people who do not answer the ethnicity question are often report as 'not Hispanic' because they believe that both race and ethnicity are captured in the 'multi-racial' response. The City will work with providers to improve data collection, and also to ensure that programs are advertised to and made available to Hispanic people, especially Spanish-speaking people.

## CR-15 - Resources and Investments 91.520(a)

### Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG		14,248,997	3,844,561
HOME		3,865,319	908,634
HOPWA		1,818,311	428,399
ESG		1,680,028	312,481

Table 3 – Resources Made Available

### Narrative

During FY 15-16, the Department of Housing and Urban Development (HUD) awarded the City of Springfield \$5,366,729 in entitlement funding. The City received \$3,554,172 through the CDBG program, \$1,036,526 through the HOME program, \$325,972 through the ESG program, and \$450,059 through the HOPWA program. Prior year funds of \$775,000, as well as estimated program income totaling \$152,000, were also available. During the program year 87.28% of CDBG funds were used to benefit low- to moderate income persons. The majority of funding was allocated for activities classified as economic development, housing, public service, or public infrastructure and facilities. Details of the services, programs and accomplishments are provided throughout the CAPER.

### Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Local Target Area	70	72	
North End/Metro Center	30	28	

Table 4 – Identify the geographic distribution and location of investments

### Narrative

The City of Springfield continues its focus on fundamentally changing the urban neighborhoods that are located within the Neighborhood Revitalization Strategy Area (NRSA). These communities are home to some of the City's poorest residents and have a wealth of diversity. The residents, business owners, and key stakeholders within the NRSA are dynamic, diverse, and eager for positive change and new investment. The City's goals for the two NRSA's are to attract and retain businesses, increase diversity, improve opportunities, increase public safety, improve physical appearance of neighborhood, assist homeowners to preserve their housing, improve neighborhood facilities, and improve appearance and appeal of existing apartment buildings.



## Leveraging

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.**

During FY15-16 the City of Springfield attracted and utilized significant non-entitlement funds. The sources of these funds include other federal grants, local and state bonds, resources from numerous State agencies, private foundations grants and private financing. Other sources of funds utilized during FY 15-16 US Dept of HUD - Disaster Recovery \$1,775,831.79, NSP \$104,747.92, Shelter Plus Care \$229,657.34, and CoC \$1,843,907.15. State Funding Chapter 90 \$2,725,117.38, PARC \$1,968,356.24, and Heartwap \$613,120.20. The Union Station Project uses a combination of Federal, State and City funding. \$37,078,611.86 was expended in FY16. The City was awarded \$275,000 in state funds to provide rental assistance for homelessness prevention and rapid rehousing.

The City is exempt from the HOME match requirement. Vendors and service providers provide the required ESG match. As a component of their application and monthly reports, each provider must detail their matching funds. Resources include Department of Child and Family Services, RAFT, Department of Transitional Assistance, DHCD, Department of Mental Health, MA Housing, and Private financing. The city uses publicly-owned land to further its objectives. City-owned properties are used for affordable housing, neighborhood revitalization, and economic development objectives. The City uses its tax title foreclosure properties to create affordable housing through public auctions and a Request for Proposals (RFP) process.

<b>Fiscal Year Summary – HOME Match</b>	
1. Excess match from prior Federal fiscal year	0
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	0
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	0

**Table 5 – Fiscal Year Summary - HOME Match Report**

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match

Table 6 – Match Contribution for the Federal Fiscal Year

**HOME MBE/WBE report**

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period	Amount received during reporting period	Total amount expended during reporting period	Amount expended for TBRA	Balance on hand at end of reporting period
\$ 0	\$ 9,630	\$ 9,277	\$ 1,859	\$ 353

Table 7 – Program Income

<b>Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period</b>						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
<b>Contracts</b>						
Dollar Amount	48,783,040	0	0	0	0	48,783,040
Number	4	0	0	0	0	4
<b>Sub-Contracts</b>						
Number	98	0	0	6	4	88
Dollar Amount	35,632,376	0	0	3,204,269	1,437,185	30,990,922
	Total	Women Business Enterprises	Male			
<b>Contracts</b>						
Dollar Amount	48,783,040	0	48,783,040			
Number	4	0	4			
<b>Sub-Contracts</b>						
Number	98	3	95			
Dollar Amount	35,632,376	4,541,342	31,091,034			

Table 8 – Minority Business and Women Business Enterprises

<b>Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted</b>						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

<b>Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition</b>						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

**Table 10 – Relocation and Real Property Acquisition**

## CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	115	168
Number of Non-Homeless households to be provided affordable housing units	389	211
Number of Special-Needs households to be provided affordable housing units	26	82
<b>Total</b>	<b>530</b>	<b>461</b>

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	141	250
Number of households supported through The Production of New Units	3	1
Number of households supported through Rehab of Existing Units	10	126
Number of households supported through Acquisition of Existing Units	83	84
<b>Total</b>	<b>237</b>	<b>461</b>

Table 12 – Number of Households Supported

**Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

The City used HUD Consolidated Plan funds to assist 461 households, which is 87% of the goal for the year. The total goal number had included additional funding sources. In addition to what is reflected here, the City also applied for and received competitive state funds, which enabled it to provide rental assistance to another 60 households--22 of which were homeless, and 38 of which were non-homeless. With these numbers included, the City achieved 98% of its goal.

The City failed to produce new units at the level expected, producing one unit, while the goal was three. The difficulty in achieving this goal is construction projects which are taking longer than expected to complete. There are homes being constructed that were expected to be completed in the current year but which will not be completed until next year.

The City provided rental assistance to more households than expected, and rehabilitated more units than expected.

**Discuss how these outcomes will impact future annual action plans.**

The City will continue to use HUD funds as leverage to obtain additional funds to meet goals for providing affordable housing.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income	20	60
Low-income	11	11
Moderate-income	6	20
<b>Total</b>	<b>37</b>	<b>91</b>

Table 13 – Number of Persons Served

**Narrative Information**

Through a variety of programs, the City assisted extremely low-, low-, and moderate-income renters and homeowners. Programs included homeowner repair, downpayment assistance, homeless prevention and rapid rehousing, project based homeownership, multi-family rental rehabilitation, and TBRA. The City supplemented federal funds with a competitively awarded state grant which increased the number of households that were provided homelessness prevention and rapid rehousing assistance.

The City targeted the majority of its assistance to extremely low-income households, which are provided prevention and rapid rehousing rental assistance, as well as tenant-based rental assistance. Funds for multi-family rental rehabilitation/production also primarily assist extremely low-income households.

The City provides three programs that assist homeowners--the first-time homebuyer program, the emergency repair program, and the project-based homeownership program. These programs assist both low- and moderate-income families. The project-based homeownership program is able to serve households up to 20% area median income (as part of a neighborhood stabilization initiative), but the City's experience is that the homes sell to low- and moderate-income households.

## **CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The CoC's very strong outreach capacity is indicated by the progress made over many years in reducing the street population, which was only 12 in the City of Springfield at our most recent point-in-time count in January 2016. The City's outreach providers know our street population and work actively to engage them in housing solutions. Outreach is conducted throughout Hampden County by Eliot Community Human Services (funded by the PATH program) and Mercy Medical Center's Health Care for the Homeless. In Springfield, additional outreach is provided by the Mental Health Association. These agencies coordinate with City staff and the Springfield Police Department.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

The Springfield Hampden County CoC conducts an annual point-in-time count, shelter/housing inventory, and a gaps analysis to analyze the need for inventory. The gaps analysis indicates that Springfield has sufficient emergency shelter beds and sufficient transitional housing.

In FY16, the City provided funds to Friends of the Homeless, which provided shelter to 553 individuals over the course of the year, and the YWCA, which provided shelter to 155 people (individuals and families) that had experienced domestic violence. Additional emergency shelter capacity in the City is maintained by the Springfield Rescue Mission, which is privately funded and operates a 40 bed seasonal shelter. The state of Massachusetts funds over 300 units of family shelter in Springfield, and expands capacity through use of motels when those units are full. In FY16, the state improved diversion and rapid rehousing placements which substantially reduced the number of families living in motels.

The City and nonprofits operating in the City have shifted programs that provide transitional housing to permanent supportive housing models, based on evidence that PSH is a more effective tool in ending homelessness. The Springfield/Hampden Continuum of Care does fund Gandara to operate a small transitional housing program for youth, and both the YWCA and HAP Housing operate transitional housing programs for victims of domestic violence. There are also several privately-funded transitional housing facilities in the City, including programs operated by the Rescue Mission, the Bi-Lingual Veterans Outreach Center, and Holy Redeemer Cathedral Ministries.

### **Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after**

**being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

The CoC sponsors a monthly meeting of police, crisis team workers, emergency room staff, and staff of behavioral health programs to coordinate referral of vulnerable persons who are in and out of systems of care to appropriate housing and service alternatives. Separately, the CoC's primary youth provider, Gandara, coordinates closely with the state foster care and youth services agencies to coordinate housing for youth coming out of those systems of care.

Homelessness prevention resources are targeted to those who are most at risk of homelessness. The Tenancy Preservation Program (TPP) assists households where behavior health issues are causing eviction, by bringing in the resources to improve the issues leading to lease violations. TPP is funded by the state of Massachusetts, and City of Springfield ESG funds. HAP Housing and Catholic Charities, both funded with Springfield ESG funds, provide prevention funds and housing stabilization services to low-income households in the eviction process.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The Hamden County CoC has focused attention and resources into creation of permanent supportive housing for both individuals and families, and targets all of these units to the chronically homeless using a Housing First model. The CoC continues to identify resources for creation of additional permanent supportive housing. The CoC has a coordinated entry system that targets permanent supportive housing to those with the longest histories of homelessness and the greatest service needs.

The CoC has created a rapid rehousing system over the past several years, which is effective in quickly moving homeless families and individuals with some sufficient level of income into permanent affordable housing. The City of Springfield allocates a substantial amount of ESG funds to rapid rehousing. CoC providers also receive CoC funds and state ESG and non-ESG funds for rapid rehousing.



## **CR-30 - Public Housing 91.220(h); 91.320(j)**

### **Actions taken to address the needs of public housing**

The City of Springfield and the Springfield Housing Authority partnered to be funded for and carry out a Choice Neighborhoods Planning project, which was focused on an obsolete public housing project, Marble Street Apartments, and the distressed neighborhood in which the project was located. Through the planning process, the residents and neighborhood decided that, given the very large concentration of federally-subsidized privately-owned housing in the South End, the goal was not to replace Marble Street Apartments, but instead to assist occupants to move from the neighborhood. The City and SHA are working together to implement the neighborhood transformation plan.

The City has committed CDBG-DR funds to assist SHA to replace units destroyed in the 2011 tornado, and the entities collaborated in the planning for these units in the last fiscal year. Construction of four units began this fiscal year.

SHA has continued to expand its stock of handicap-accessible units through conversion. In addition the four units under construction will all be handicap accessible.

### **Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

SHA encourages public housing residents to become more involved in management through the following:

A resident member of the SHA Board of Commissioners

A staff member from the Resident Services Department who is assigned as a liaison to tenant councils to assist in strengthening their operations and activities

Cooperatively working with tenant councils and outreach to developments without councils to invite their participation as members of the Resident Advisory Board (RAB)

Outreach to residents to encourage the formation of new tenant councils.

Encouragement of tenant council presidents to attend annual conferences of the MA Union of Public Housing Tenants (state tenant advocacy agency); SHA funds their conference and travel costs

Actions taken by the SHA to promote homeownership include:

Provision of information and referral to homeownership classes to all tenants paying flat rent

Provision by the Resident Services Department of financial literacy classes for residents, which include a component about homeownership and referral to first-time home buyer classes

Operation of a Homeownership Program which supports and counsels Section 8 participants about how to use a voucher to become a homeowner. The SHA's Section 8 Homeownership program, with 62 home owners, is the largest in Massachusetts.

**Actions taken to provide assistance to troubled PHAs**

The Springfield Housing Authority is not designated as troubled.

### **CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

The City does not have in place these types of policy barriers to affordable housing, and has affordable housing units in every neighborhood of the City. However, Springfield has in the past year collaborated with the Pioneer Valley Planning Commission to create a regional housing plan, and the plan identified these types of barriers in many of the areas surrounding Springfield. The City serves on the regional planning committee's Inclusive Communities Task Force as it attempts to identify ways to remove or ameliorate these barriers throughout the region.

### **Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

The greatest obstacle to meeting the needs identified in the plan is the lack of resources needed to do so. The City strives to maximize the resources it has, through targeting and efficient programming, and it attempts to increase the resources available to address the needs. The City's attempts to increase resources will include:

- Application for competitive grant funding;
- Partnership with the private sector to achieve community goals;
- Assistance to nonprofit agencies and private partners to enable them to compete for government and private funds;
- Advocacy with state administration and local legislative delegation to increase state support for priority City projects and initiatives.

The City was awarded a \$17 million National Disaster Resilience grant, which will support multiple resilience activities throughout the City's low-income neighborhoods.

The City's response to underserved needs is also limited by restrictions placed on available funding sources. Most significantly, restrictions on the use of CDBG funds make it difficult for the City to use these funds more broadly for job creation and job training, which are critical needs in the City.

### **Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)**

Specific actions the City took to evaluate lead-paint hazards included:

- City Code Enforcement inspections, which evaluate potential hazards in units where children under six reside and enforce remediation in compliance with Massachusetts lead laws

- Mandatory pre-rehabilitation lead testing including soil samples for all HOME-funded project-based homeownership and multi-family production programs

Specific actions to reduce the number of housing units containing lead-based paint hazards included:

- Aggressive enforcement, including—as necessary—legal prosecution of property owners who fail to comply with orders to remediate hazards
- Mandatory remediation within rehabilitation specifications for all project-based and multi-family projects
- Referral of property owners to state-funded lead abatement programs
- In FY16, the City secured \$4.5 million in CDBG-NDR funds to be used for housing rehabilitation, including lead remediation.
- In compliance with Title X, the City has integrated lead hazard controls into all housing policies and programs.
- Federal requirements for evaluating and remediating lead hazards are fully incorporated into the City's protocol for housing rehabilitation.

#### **Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

Springfield has a very high poverty rate: 29% of its residents have incomes below the poverty rate set by the Office of Management and Budget (\$24,250 for a family of four in 2015). Forty-four percent of children under 18 live in poverty, giving the city one of the highest child poverty rates in the state.

There is a strong correlation between literacy rates (early childhood education, K-12, and adult education) and the incidence of poverty. Many poor families are headed by parents who have not finished high school, and cannot compete for better-paying jobs. In Springfield, 24% of adults age 25 and over have not completed high school or earned a GED; only 17% have earned a bachelor's degree. Without further education, many low-income parents are unlikely to earn incomes that will support their families.

The City's anti-poverty efforts focus on three broad categories: increasing education and literacy; increasing employment and training opportunities; and increasing household assets.

Many low-income adults lack the education and English language proficiency needed to support their efforts to attain self-sufficiency. In order to assist individuals in overcoming these barriers, the City allocates CDBG funds to Adult Basic Education, GED and English Language classes. In FY 15-16, the City funded adult education programs at New North Citizens Council, The Gray House and the YWCA, serving a total of 175 people.

Within Springfield, there is a mis-match between the jobs available and the skill levels of local residents;

the problem is even more pronounced with regard to youth. In FY15-16, the City used CDBG funds to support education, employment and job training opportunities for at-risk persons in the YWCA YouthBuild Program, ROCA Transitional Employment Program and New North Citizens Council Hands in Labor; benefitting 197 low income persons.

The City has many Veterans who need assistance in reengaging into the community and need of employment. Springfield Partners for Community Action, through their Veterans Work First Program, provided services to Veterans who are in critical need of employment via job training, employment, skills development and workshops. The program benefitted 44 low income persons.

The City has a well-developed Section 3 program, which it uses to connect low-income residents and businesses that employ these residents to employment in development projects.

Homeownership is a long-term intergenerational strategy for asset-building. The City supports homeownership through its downpayment assistance program, which assisted 84 households purchase homes in FY16, and through its affordable homeownership development program. The City developed one homeownership home in FY16, and has four more homes under development.

#### **Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

In FY14 and FY15, the City undertook a comprehensive planning process directed toward increasing the City's resilience to the expected impacts of climate change. This work has incorporated extensive inter-departmental cooperation and planning, as well as frequent and ongoing community engagement. While the effort originated through the City's preparation to apply for the National Disaster Resilience Competition, the planning effort has led to numerous policy and legislative changes that are being enacted even if the City is not awarded a grant. The planning effort has improved the City's ability to respond to cross-sector issues.

#### **Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

The City works to enhance coordination between public and private housing, health, and social service agencies through multi-disciplinary/multi-agency task forces and teams that focus on particular issues or neighborhoods. Some of these teams that have been active during FY15-16 are: The Springfield Food Policy Council, the Springfield Adolescent Sexual Health Advisory Committee; the Springfield/Hampden County Continuum of Care; the Buy Springfield Now committee; the Closing the Achievement Gap Initiative; the State Street Alliance; the Old Hill Collaborative and 4 neighborhood-based C3 public safety initiatives.

#### **Identify actions taken to overcome the effects of any impediments identified in the**

## **jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

The City funded the Massachusetts Fair Housing Center, the region's fair housing education and enforcement center. Massachusetts Fair Housing Center accepts housing discrimination complaints and provides free legal assistance to people who have been victims of discrimination.

Springfield's Office of Housing provides fair housing materials to the public, in English and Spanish, and displays fair housing posters to inform residents of their fair housing rights.

The City provided homebuyer assistance to 84 income-eligible first-time homebuyers purchasing homes within the City in FY16. The program is frequently a tool that enables people of color to purchase their first homes; in 2015-2016, 37% of assisted households were Hispanic, 24% were African-American, and 32% were multiple races. The City's homebuyer assistance program may be used in any of the City's seventeen neighborhoods. Within the City, there are only three neighborhoods in the City whites make up more than 60% of the population (Indian Orchard 66%, Sixteen Acres 71%, and East Forest Park 84%). These neighborhoods are well-represented among locations for first-time homebuyers receiving assistance from the program.

All housing units developed or rehabilitated with HOME or NSP assistance are required to be marketed to 'those persons least likely to apply.'

The City provides homebuyer education classes in Spanish. The class includes components addressing fair housing component and predatory lending.

The City has committed to spend over 95% of its \$22 million CDBG-DR grant in low-income neighborhoods that are made up predominantly of persons of color. The City has been awarded \$17 million CDBG-NDC funds that are programmed for the same neighborhoods.

All rental rehabilitation funded by the City results in lead-safe housing. Beginning in 2016, the City will use \$5 million in NDR funds for a comprehensive housing rehabilitation program, which will include lead remediation for all enrolled units.

The Springfield Housing Authority has hired a Section 8 Housing Voucher mobility counselor. The City and SHA provided comments to HUD urging use of small market Fair Market Rents (FMRs) for the Section 8 program in the Springfield Metropolitan Area.

The City is an active member in the Pioneer Valley Inclusive Communities Task Force.

## **CR-40 - Monitoring 91.220 and 91.230**

**Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

Most community development projects are implemented by CD staff, with internal monitoring via the Integrated Disbursement and Information System (IDIS) project reports, and strong internal procedures. For these projects and others implemented by City departments (such as public works), the CD staff fully understands and incorporates program requirements.

A comprehensive system has been developed by CD for subrecipients in order to insure compliance with program and budget requirements. These subrecipients are usually implementing a social service or housing program. CD has contractual agreements with subrecipients of the program funds that require compliance with programmatic statutes and regulations. CD is responsible for ensuring that subrecipients comply with all regulations governing their administrative, financial and programmatic operations and confirm that the subrecipients are achieving their performance objectives within the contractual schedule and budget and performance measurement system. Per HUD's recommendation, CD utilizes a coordinated project monitoring process, including coordinated fiscal and program on-site monitoring visits.

Main program files for CDBG-funded programs and projects are maintained within CD's central file system, but the programs and projects are managed by program delivery staff within their respective departments. The Community Development Director of Administration and Finance has primary responsibility for long term compliance with program and comprehensive planning requirements. In addition, CD staff oversee the fiscal monitoring of all activities funded through CDBG, HOME, ESG and HOPWA. CD monitors CDBG contracts with organizations, and inter-departmental agreements with other City departments.

The CD Administration Division maintains a master contract schedule to track the dates and results of monitoring for all subrecipients. The schedule measures against six factors:

- RISK FACTOR 1: Subrecipient is new to the program
- RISK FACTOR 2: Turnover of key staff
- RISK FACTOR 3: Prior compliance or performance problems
- RISK FACTOR 4: Subrecipient is carrying out a high risk activity (e.g., economic development)
- RISK FACTOR 5: Multiple CDBG Contracts for the first time
- RISK FACTOR 6: Reports not turned in on time

Any contract not included in the program monitoring schedule is subject to "bench monitoring." This process involves contract scope review and review of monthly report forms and monthly narratives submitted by the subrecipient.

### **Citizen Participation Plan 91.105(d); 91.115(d)**

#### **Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

The City's Participation Plan is designed to encourage citizens to participate in the development of the Consolidated Plan, Annual Action Plan, substantial amendments to the Consolidated Plan, and CAPER Performance Reports. As described in the plan, the City encourages the input of low moderate income residents by (1) outreach to the public through mailings, (2) conducting a series of public hearings at various stages of the planning process, (3) creating a system to accept and respond to written comments from the citizens.

To ensure participation among low/moderate income residents, public hearings are held in areas where funds are mostly to be spent and to public housing residents.

The City makes information available and accessible to all interested parties. All information is provided in English and Spanish.

In order to publicize the public hearings, the City mailed a flyer to the individuals and organizations listed on the Office of Community Development's mailing list, posted the flyer on the City's Community Development website, printed advertisements in the Neighborhood Plus section of the Republican, LaVoz, Spanish newspaper, and legal notices were published in the Republican in both English and Spanish.

The CAPER for the fiscal year that commenced on July 1, 2015-June 30,2016(FY15-16), was posted online and available for public review from September 7-September 21, 2016 and a public hearing was held on September 13, 2016 at 5:00 pm, at Springfield City Hall, Room 220. During the review period copies of the DRAFT CAPER were available at the following places:

- Office of Planning & Economic Development, 70 Tapley Street;
- Office of Housing, 1600 East Columbus Avenue;
- City of Springfield, City Library, 220 State Street;
- <http://www.springfieldcityhall.com/cos/services/deptcd.htm>

An announcement about the hearing and the availability of the DRAFT document was published in English and Spanish in the Springfield Republican on August 24,2016; the Local Section, Neighborhoods Plus, of the Republican on September 7, 2016 and in the Spanish Newspaper, LaVoz on September 9,



2016. A flyer was mailed to persons and organizations included on the Office of Community Development's extensive mailing list. The advertisement also solicited written feedback from Springfield residents.

There were no questions or comments received on the DRAFT CAPER during the comment period.

**CR-45 - CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.**

The City does not plan to make changes to its objectives.

The City has identified that there may be a need for additional outreach to the Asian community, and it will undertake this outreach in the upcoming year.

<b>Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?</b>	No
--	----

**[BEDI grantees] Describe accomplishments and program outcomes during the last year.**

## **CR-50 - HOME 91.520(d)**

**Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

The City completed on-site monitoring for all assisted affordable rental housing scheduled to be monitored this fiscal year. The following properties were inspected: Pynchon I, Pynchon II, Spring Hill Apartments, Maple High Apartments, St. James Manor, Belle Franklin I, Belle Franklin II, Liberty Hill Coop, Jefferson Park, New Court Terrace, Quadrangle Court, the Kenwyn, The Rainville, City View Commons I, City View Commons II, Worthington Commons, Mason Wright, HAH-YMCA, New Court Apartments, BC Cumberland Homes, Forest Park Condos, Spring Meadow Apts., Better Homes Tapley, Northern Heights, center City, Museum Park I, Museum Park II, Cathedral Hill Apts., 34 Terrence St., and Memorial Parish.

No violations or concerns were identified at any of the projects, with one exception. Monitoring detected some HQS violations in some TBRA units. The City has required that repairs be made and has scheduled re-inspections for early September 2016.

## **Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)**

The City's population includes 41% Hispanic, 22% Black, and 2% Asian. The population served by HOME programs in the last fiscal year was 42% Hispanic, 28% Black, 1% Asian, and 20% multiple races. The City's white population (which included persons who are Hispanic) is 54%, and HOME programs served 50% persons who are white.

This data indicates that affirmative marketing campaigns are successfully reaching people of all races and ethnicities.

## **Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

The City of Springfield recorded \$9,629.93 program income during the 2015-2016 fiscal year. Of the \$9,629.93, \$7,985.00 are recaptured funds and \$1,644.93 is program income.

A total of \$7,288.86 was used for the First Time Homebuyer program. Five homebuyers were assisted.

Three homebuyers were Other Multi-racial; two were very low income and one was low income- IDIS #4450,#4451,#4613. Two homebuyers were White; one was low income and the other was low-mod- IDIS #4580 and #4591. \$2,176.58 was used for Tenent Based Rental Assistance IDIS #4582. The final \$164.49 was used for HOME administration, IDIS #4537.

**Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

The City has provided funding for redevelopment of the following projects in this fiscal year: Outing Park II (92 units), Hunter Place (80 units), and Colonial Estates (500 units). Each of these are existing affordable housing projects which are being redeveloped and will remain as affordable housing. The City provided HOME funds for a small number of units in each of these projects, which provided leverage that enabled property owners to redevelop a much larger number of units using multiple funding sources, including LIHTC funds.

The City is using NSP, CDBG-DR and NDR funds to create affordable housing. NSP is funding affordable homeownership and rehabilitation of multi-family rental housing; CDBG-DR is being used to create affordable homeownership and replacement of public housing; and NDR is being used for rehabilitation of rental housing.

## CR-55 - HOPWA 91.520(e)

### Identify the number of individuals assisted and the types of assistance provided

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	70	56
Tenant-based rental assistance	25	26
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	0	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	0	0

Table 14 – HOPWA Number of Households Served

### Narrative

Springfield is the grantee for entitlement HOPWA funds for the Eligible Metropolitan Statistical Area (EMSA) of Hampden, Hampshire and Franklin Counties. The City implements the HOPWA program through four subrecipients: New North Citizens Council, River Valley Counseling Center, Cooley Dickinson - A Positive Place, and the Center for Human Development's HIV/AIDS Law Consortium.

In FY15-16, recipients of HOPWA funding served 252 unduplicated households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) to 26 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 56 households; housing information to 54 households and supportive services to 116 households.

Over 40% of funds are used to provide Tenant-Based Rental Assistance or Short Term Rental, Utilities or Mortgage Assistance. The rest of the funds go to supportive services, including Rental Start-Up (first, last and security deposit) and legal assistance related to housing issues.

# CR-60 - ESG 91.520(g) (ESG Recipients only)

## ESG Supplement to the CAPER in *e-snaps*

### For Paperwork Reduction Act

#### 1. Recipient Information—All Recipients Complete

##### Basic Grant Information

Recipient Name	SPRINGFIELD
Organizational DUNS Number	073011921
EIN/TIN Number	046001415
Identify the Field Office	BOSTON
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Springfield CoC

##### ESG Contact Name

Prefix	0
First Name	Geraldine
Middle Name	0
Last Name	McCafferty
Suffix	0
Title	Director of Housing

##### ESG Contact Address

Street Address 1	Office of Housing
Street Address 2	0
City	Springfield
State	MA
ZIP Code	-
Phone Number	4138865014
Extension	0
Fax Number	0
Email Address	gmccafferty@springfieldcityhall.com

##### ESG Secondary Contact

Prefix	
First Name	
Last Name	
Suffix	
Title	
Phone Number	
Extension	
Email Address	

## 2. Reporting Period—All Recipients Complete

Program Year Start Date 07/01/2015  
Program Year End Date 06/30/2016

### 3a. Subrecipient Form – Complete one form for each subrecipient

**Subrecipient or Contractor Name:** HAP,INC

**City:** Springfield

**State:** MA

**Zip Code:** 01105, 2408

**DUNS Number:** 087452496

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 92411

**Subrecipient or Contractor Name:** YWCA OF WESTERN MASSACHUSETTS

**City:** Springfield

**State:** MA

**Zip Code:** 01118, 2213

**DUNS Number:** 066994534

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 15000

**Subrecipient or Contractor Name:** FRIENDS OF THE HOMELESS

**City:** Springfield

**State:** MA

**Zip Code:** 01105, 1392

**DUNS Number:** 191488006

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 100000

**Subrecipient or Contractor Name:** CATHOLIC CHARITIES OF SPRINGFIELD, MASS

**City:** Springfield

**State:** MA

**Zip Code:** 01105, 1713

**DUNS Number:** 605761795

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 64113

**Subrecipient or Contractor Name:** MENTAL HEALTH ASSOCIATION INC

**City:** Springfield

**State:** MA

**Zip Code:** 01109, 4027

**DUNS Number:** 037682044

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 30000





## CR-65 - Persons Assisted

### 4. Persons Served

#### 4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 15 – Household Information for Homeless Prevention Activities

#### 4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 16 – Household Information for Rapid Re-Housing Activities

#### 4c. Complete for Shelter

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 17 – Shelter Information

#### 4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 18 – Household Information for Street Outreach

#### 4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 19 – Household Information for Persons Served with ESG

#### 5. Gender—Complete for All Activities

	Total
Male	0
Female	0
Transgender	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 20 - Gender Information

## 6. Age—Complete for All Activities

	Total
Under 18	0
18-24	0
25 and over	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 21 – Age Information

## 7. Special Populations Served—Complete for All Activities

### Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	0	0	0	0
Victims of Domestic Violence	0	0	0	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
<b>Persons with Disabilities:</b>				
Severely Mentally Ill	0	0	0	0
Chronic Substance Abuse	0	0	0	0
Other Disability	0	0	0	0
Total (Unduplicated if possible)	0	0	0	0

Table 22 – Special Population Served

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### 10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	71,175
Total Number of bed-nights provided	61,693
Capacity Utilization	86.68%

Table 23 – Shelter Capacity

### 11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

The City and the CoC have collaborated to update performance standards for ESG projects. The performance standards that have been set for ESG programs are as follows:

- Utilization rate: 100%
- Targeting for literally homeless (for rapid rehousing programs): 100%
- Average length of stay (for emergency shelter programs) 30 days or 5% improvement over prior year
- Exit data captured: Prevention - 90%; Individual shelter - 35%; Family shelter - 75%; Rapid rehousing - 90%
- Exit to permanent housing: Individual shelter - 20%; Family shelter - 60%; Rapid rehousing - 90%
- Receipt of non-cash benefits: For family shelter and rapid rehousing: 90%
- Missing data elements: For prevention and family shelter - no more than 3%; all others - no more than 5%
- Timely invoicing: monthly
- Grant spend-down: at least 90%

All programs performed satisfactorily, although not all were able to meet all performance goals. Each program has been provided a report card which indicates areas where improvement is needed.

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	116,470	90,980	94,125
<b>Subtotal Homelessness Prevention</b>	<b>116,470</b>	<b>90,980</b>	<b>94,125</b>

Table 24 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	136,494	119,426	125,318
<b>Subtotal Rapid Re-Housing</b>	<b>136,494</b>	<b>119,426</b>	<b>125,318</b>

Table 25 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Essential Services	0	0	0
Operations	41,390	68,582	65,168
Renovation	0	0	0
Major Rehab	0	0	0

Conversion	0	0	0
<b>Subtotal</b>	<b>41,390</b>	<b>68,582</b>	<b>65,168</b>

Table 26 – ESG Expenditures for Emergency Shelter

#### 11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
HMIS	0	0	0
Administration	20,074	22,907	24,447
Street Outreach	0	0	0

Table 27 - Other Grant Expenditures

#### 11e. Total ESG Grant Funds

Total ESG Funds Expended	2013	2014	2015
925,381	314,428	301,895	309,058

Table 28 - Total ESG Funds Expended

#### 11f. Match Source

	2013	2014	2015
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	1,145,126	1,758,428	1,042,152
Local Government	0	0	0
Private Funds	87,500	60,410	62,000
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
<b>Total Match Amount</b>	<b>1,232,626</b>	<b>1,818,838</b>	<b>1,104,152</b>

Table 29 - Other Funds Expended on Eligible ESG Activities

#### 11g. Total

Total Amount of Funds Expended on ESG Activities	2013	2014	2015
5,080,997	1,547,054	2,120,733	1,413,210

**Table 30 - Total Amount of Funds Expended on ESG Activities**



**Attachment**

**PR-26**



Office of Community Planning and Development  
 U.S. Department of Housing and Urban Development  
 Integrated Disbursement and Information System  
 HUD-26 - CDBG Financial Summary Report

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Program Year 2015  
 SPRINGFIELD, MA

**PART I: SUMMARY OF CDBG RESOURCES**

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	0.00
02 ENTITLEMENT GRANT	3,554,172.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	209,885.10
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 FUNDS RETURNED TO THE LINE OF CREDIT	0.00
06a FUNDS RETURNED TO THE LOCAL CDBG ACCOUNT	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	3,764,057.10

**PART II: SUMMARY OF CDBG EXPENDITURES**

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	3,257,830.88
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	3,257,830.88
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	749,749.16
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	1,607,580.01
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	(243,522.94)

**PART III: LOW/MOD BENEFIT THIS REPORTING PERIOD**

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	2,803,952.27
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	2,803,952.27
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	86.97%

**LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS**

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2013 PY: 2014 PY: 2015
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	3,649,007.00
25 CUMULATIVE EXPENDITURES BENEFITTING LOW/MOD PERSONS	3,200,696.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	86.94%

**PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS**

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	616,601.95
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	(129,704.00)
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	486,900.95
32 ENTITLEMENT GRANT	3,554,172.00
33 PRIOR YEAR PROGRAM INCOME	187,867.03
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	3,742,039.03
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	13.01%

**PART V: PLANNING AND ADMINISTRATION (PA) CAP**

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	749,749.16
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 - LINE 40)	749,749.16
42 ENTITLEMENT GRANT	3,554,172.00
43 CURRENT YEAR PROGRAM INCOME	209,885.10
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	3,764,057.10
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	19.92%



Office of Community Planning and Development  
 U.S. Department of Housing and Urban Development  
 Integrated Disbursement and Information System

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PR26 - CDBG Financial Summary Report  
 Program Year 2015  
 SPRINGFIELD, MA

LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Target Area Type	Drawn Amount
2015	46	4475	5881716	Green N-Fit Neighborhood Rebuild	14A	1.4H	Strategy area	\$3,553.45
2015	46	4475	5943001	Green N Fit Neighborhood Rebuild	14A	1.4H	Strategy area	\$21,446.51
								\$25,000.00
<b>Total</b>								\$25,000.00

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

Plan Year	IDIS Project	IDIS Activity	Activity Name	Matrix Code	National Objective	Drawn Amount
2014	8	4294	Rehabilitation	14B	1.4H	\$30,000.00
						\$30,000.00
<b>Total</b>						\$30,000.00

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2015	50	4484	5872499	Disposition	02	LMA	\$16,033.94
2015	50	4484	5881716	Disposition	02	LMA	\$2,867.79
2015	50	4484	5899802	Disposition	02	LMA	\$7,421.43
2015	50	4484	5918457	Disposition	02	LMA	\$7,623.46
2015	50	4484	5925881	Disposition	02	LMA	\$711.36
2015	50	4484	5943274	Disposition	02	LMA	\$350.41
							\$35,017.00
2013	16	4018	5829598	Neighborhood Target Improvement Program	03	LMA	\$6,910.00
2013	16	4018	5882564	Neighborhood Target Improvement Program	03	LMA	\$1,698.00
2013	16	4018	5898415	Neighborhood Target Improvement Program	03	LMA	\$15,243.04
2013	16	4018	5917529	Neighborhood Target Improvement Program	03	LMA	\$3,172.00
2013	16	4018	5931107	Neighborhood Target Improvement Program	03	LMA	\$9,666.00
2014	74	4288	5882567	Park Reconstruction	03	LMA	\$53,886.57
2014	74	4288	5936546	Park Reconstruction	03	LMA	\$60,886.42
2015	49	4670	5918457	Marlin Luther King Family Services Rehab	03	LMA	\$8,333.33
2015	49	4622	5946771	Caring Health Center	03	LMA	\$13,500.00
2015	49	4674	5950414	Children's Study Home	03	LMA	\$11,800.00
2015	49	4675	5943991	ROCA	03	LMA	\$17,500.00
2015	49	4628	5946771	Springfield Boys & Girls Club	03	LMA	\$10,100.00
2015	53	4488	5918457	Park Reconstruction	03	LMA	\$9,374.23
2015	53	4488	5925881	Park Reconstruction	03	LMA	\$93,750.75
2015	53	4488	5943274	Park Reconstruction	03	LMA	\$42,338.97
							\$387,608.31
2014	73	4287	5839503	Streets/Sidewalks	03L	LMA	\$73,298.59
2014	73	4287	5849668	Streets/Sidewalks	03L	LMA	\$80,211.03
2014	73	4287	5863021	Streets/Sidewalks	03L	LMA	\$99,142.42
2014	73	4287	5882567	Streets/Sidewalks	03L	LMA	\$6,590.00
2014	73	4287	5898417	Streets/Sidewalks	03L	LMA	\$4,575.00
2014	73	4287	5925653	Streets/Sidewalks	03L	LMA	\$28,325.00
2014	73	4287	5943223	Streets/Sidewalks	03L	LMA	\$8,400.00
2015	52	4486	5925881	Streets & Sidewalks	03L	LMA	\$158,256.31
2015	52	4486	5943274	Streets & Sidewalks	03L	LMA	\$69,041.60
2015	52	4486	5950414	Streets & Sidewalks	03L	LMA	\$50,492.17
							\$578,432.03
2014	16	4295	5943223	Clearance & Demo	04	LMA	\$3,536.32
2015	47	4180	5872499	Clearance & Demo	04	LMA	\$11,598.73
2015	47	4180	5881716	Clearance & Demo	04	LMA	\$2,445.26



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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2013	47	4480	5899602	Clearance & Demo	04	LNA	\$39,714.13
2015	47	4480	5918457	Clearance & Demo	04	LNA	\$5,391.95
2015	47	4480	5925881	Clearance & Demo	04	LNA	\$1,516.93
2015	47	4480	5943274	Clearance & Demo	04	LNA	\$6,288.92
					04	<b>Matrix Code</b>	<b>\$430,572.35</b>
2014	3	4237	5839503	Intensive In-Home Case Management	05	LNC	\$326.25
2014	3	4275	5839503	Cancer Development	05	LNC	\$6,413.19
2015	31	4444	5872499	Parenting & Lifeskills	05	LNC	\$1,431.69
2015	31	4444	5925881	Parenting & Lifeskills	05	LNC	\$2,354.25
2015	31	4444	5958414	Parenting & Lifeskills	05	LNC	\$514.05
2015	34	4452	5899602	YouthBuild	05	LNC	\$5,045.00
2015	34	4452	5918457	YouthBuild	05	LNC	\$2,345.00
2015	34	4452	5943274	YouthBuild	05	LNC	\$3,750.00
					05	<b>Matrix Code</b>	<b>\$23,379.45</b>
2014	3	4248	5835796	Senior Center-Hungry Hill	05A	LNC	\$360.06
2015	16	4429	5872499	Senior Center	05A	LNC	\$132.00
2015	16	4429	5899602	Senior Center	05A	LNC	\$499.63
2015	16	4429	5918457	Senior Center	05A	LNC	\$1,228.73
2015	16	4429	5925881	Senior Center	05A	LNC	\$266.29
2015	16	4429	5943274	Senior Center	05A	LNC	\$2,125.79
2015	16	4429	5950414	Senior Center	05A	LNC	\$763.95
2015	21	4434	5872499	Senior Center-Pine Point	05A	LNC	\$5,000.00
					05A	<b>Matrix Code</b>	<b>\$10,776.47</b>
2015	20	4433	5872499	Camp Star	05B	LNC	\$79,965.00
					05B	<b>Matrix Code</b>	<b>\$79,965.00</b>
2014	3	4266	5835796	Bridging the Gap	05D	LNC	\$1,443.26
2014	3	4268	5835796	Indian Orchard Unit	05D	LNC	\$233.00
2014	3	4269	5835796	Youth Swim & Safety	05D	LNC	\$576.78
2014	3	4270	5835796	Teens in Transition	05D	LNC	\$182.03
2014	3	4270	5839503	Teens in Transition	05D	LNC	\$543.14
2014	3	4274	5835796	Digital Connectors	05D	LNC	\$1,374.67
2015	5	4421	5881716	WER Dubois Academy	05D	LNC	\$7,572.29
2015	5	4421	5918457	WER Dubois Academy	05D	LNC	\$953.18
2015	5	4421	5950414	WER Dubois Academy	05D	LNC	\$357.86
2015	6	4419	5950414	Early Childhood Mental Health Support	05D	LNC	\$4,652.53
2015	7	4420	5872499	ScoutReach	05D	LNC	\$3,445.36
2015	7	4420	5918457	ScoutReach	05D	LNC	\$554.61
2015	8	4422	5899602	Teens in Transition-Family Center	05D	LNC	\$2,242.00
2015	8	4422	5925881	Teens in Transition-Family Center	05D	LNC	\$892.32
2015	8	4422	5943274	Teens in Transition-Family Center	05D	LNC	\$880.23
2015	9	4423	5872499	Camp CASA	05D	LNC	\$4,500.00
2015	10	4424	5872499	Pool Program	05D	LNC	\$77,794.71
2015	11	4425	5872499	Summer Enrichment	05D	LNC	\$32,970.83
2015	17	4430	5881716	College & Career Readiness	05D	LNC	\$3,005.63
2015	17	4430	5899602	College & Career Readiness	05D	LNC	\$940.88
2015	17	4430	5918457	College & Career Readiness	05D	LNC	\$1,037.16
2015	17	4430	5925881	College & Career Readiness	05D	LNC	\$1,932.66
2015	17	4430	5943274	College & Career Readiness	05D	LNC	\$1,761.15
2015	25	4438	5872499	Summer Activities	05D	LNC	\$23,000.00
2015	26	4439	5872499	Indian Orchard Unit	05D	LNC	\$3,816.40
2015	26	4439	5925881	Indian Orchard Unit	05D	LNC	\$281.28
2015	26	4439	5943274	Indian Orchard Unit	05D	LNC	\$1,145.93
2015	27	4440	5872499	Youth Swim & Safety	05D	LNC	\$2,089.79
2015	27	4440	5925881	Youth Swim & Safety	05D	LNC	\$2,609.42
2015	27	4440	5943274	Youth Swim & Safety	05D	LNC	\$357.36
2015	32	4445	5872499	College Career Mentoring	05D	LNC	\$1,230.00



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2015	32	4445	5943274	College Career Mentoring	05D	LMC	\$1,845.00
					05D	Matrix Code	\$245,674.01
2015	14	4427	5925881	New Life Center for Recovery	05F	LMC	\$7,000.00
					05F	Matrix Code	\$7,000.00
2014	3	4250	5849668	Ofair Housing	05J	LMCSV	\$1,521.28
2015	18	4431	5899802	Fair Housing	05J	LMC	\$2,619.53
2015	18	4431	5943274	Fair Housing	05J	LMC	\$2,380.47
					05J	Matrix Code	\$6,521.28
2015	30	4443	5918457	Access Funds	05L	LMC	\$1,858.13
					05L	Matrix Code	\$1,858.13
2014	10	4400	5849668	Elnora L	14A	LMH	\$3,450.00
2014	10	4408	5863021	Yolanda R	14A	LMH	\$1,350.00
2014	10	4409	5849668	Sonia S	14A	LMH	\$9,517.92
2015	42	4476	5872499	Existing Home Rehab	14A	LMH	\$21,371.08
2015	42	4476	5881716	Existing Home Rehab	14A	LMH	\$3,555.89
2015	42	4476	5899802	Existing Home Rehab	14A	LMH	\$8,127.74
2015	42	4476	5918457	Existing Home Rehab	14A	LMH	\$9,611.14
2015	42	4476	5925881	Existing Home Rehab	14A	LMH	\$2,474.43
2015	42	4476	5943274	Existing Home Rehab	14A	LMH	\$20,831.71
2015	42	4560	5872499	Julita L	14A	LMH	\$1,200.00
2015	42	4561	5872499	Marjorie W	14A	LMH	\$1,925.00
2015	42	4562	5872499	Rozelyn D	14A	LMH	\$3,400.00
2015	42	4563	5872499	Jean W	14A	LMH	\$7,200.00
2015	42	4564	5872499	Lynne M	14A	LMH	\$700.00
2015	42	4564	5881716	Lynne M	14A	LMH	\$1,475.00
2015	42	4565	5881716	Gladys R	14A	LMH	\$6,100.00
2015	42	4595	5918457	Cassandra H	14A	LMH	\$1,469.00
2015	42	4596	5918457	Marjann M	14A	LMH	\$1,740.00
2015	42	4597	5918457	Lausto H	14A	LMH	\$3,700.00
2015	42	4598	5918457	Rajah H	14A	LMH	\$20,575.00
2015	42	4599	5918457	Rosa C	14A	LMH	\$22,100.00
2015	42	4601	5918457	Shavona M	14A	LMH	\$5,700.00
2015	42	4602	5918457	Patsy F	14A	LMH	\$13,500.00
2015	42	4603	5918457	Jeraldine F	14A	LMH	\$700.00
2015	42	4603	5943274	Jeraldine F	14A	LMH	\$7,660.00
2015	42	4604	5918457	Pedro R	14A	LMH	\$1,925.00
2015	42	4605	5918457	Carmen F	14A	LMH	\$2,550.00
2015	42	4606	5918457	Tammy B	14A	LMH	\$13,800.00
2015	42	4607	5918457	Paulatha T	14A	LMH	\$17,700.00
2015	42	4608	5918457	Jessica M	14A	LMH	\$9,200.00
2015	42	4615	5925881	Millem G	14A	LMH	\$10,500.00
2015	42	4616	5925881	Val G	14A	LMH	\$12,600.00
2015	42	4617	5925881	Rosie B	14A	LMH	\$700.00
2015	42	4617	5943274	Rosie B	14A	LMH	\$8,752.00
2015	42	4618	5925881	Carmen P	14A	LMH	\$16,820.00
					14A	Matrix Code	\$400,230.91
2015	3	4418	5872499	HEARTWAP	14F	LMH	\$60,845.45
2015	3	4418	5881716	HEARTWAP	14F	LMH	\$9,599.83
2015	3	4418	5899802	HEARTWAP	14F	LMH	\$27,777.43
2015	3	4418	5918457	HEARTWAP	14F	LMH	\$29,808.55
2015	3	4418	5925881	HEARTWAP	14F	LMH	\$8,018.95
2015	3	4418	5943274	HEARTWAP	14F	LMH	\$14,154.80
					14F	Matrix Code	\$150,000.00
2015	44	4477	5872499	First Time Homebuyer Program	14H	LMA	\$35,800.39
2015	44	4477	5881716	First Time Homebuyer Program	14H	LMA	\$5,287.97
2015	44	4477	5899802	First Time Homebuyer Program	14H	LMA	\$16,104.43



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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount	
2015	44	4477	5928457	First Time Homebuyer Program	14H	LMA	\$17,830.17	
2015	44	4477	5925881	First Time Homebuyer Program	14H	LMA	\$4,074.46	
2015	44	4477	5943274	First Time Homebuyer Program	14H	LMA	\$16,053.92	
2015	55	4490	5872499	Graffiti	14H	LMA	\$10,135.84	
2015	55	4490	5881716	Graffiti	14H	LMA	\$1,174.25	
2015	55	4490	5899802	Graffiti	14H	LMA	\$2,993.96	
2015	55	4490	5928457	Graffiti	14H	LMA	\$1,247.10	
2015	55	4490	5925881	Graffiti	14H	LMA	\$4,935.47	
2015	55	4490	5943274	Graffiti	14H	LMA	\$8,959.18	
							<b>14H Matrix Code</b>	<b>\$126,777.04</b>
2015	51	4485	5872499	Street Sweeps and Keep Springfield Beautiful	15	LMA	\$15,823.46	
2015	51	4485	5881716	Street Sweeps and Keep Springfield Beautiful	15	LMA	\$3,117.32	
2015	51	4485	5899802	Street Sweeps and Keep Springfield Beautiful	15	LMA	\$8,859.06	
2015	51	4485	5918457	Street Sweeps and Keep Springfield Beautiful	15	LMA	\$586.08	
2015	51	4485	5925881	Street Sweeps and Keep Springfield Beautiful	15	LMA	\$3,752.01	
2015	51	4485	5943274	Street Sweeps and Keep Springfield Beautiful	15	LMA	\$7,399.42	
							<b>15 Matrix Code</b>	<b>\$39,582.35</b>
2014	75	4113	5917927	Calo LLC	18A	LMI	\$5,000.00	
2014	75	4114	5849668	Inspired Marketing	18A	LMI	\$5,000.00	
2015	54	4510	5863021	Mass Collision	18A	LMI	\$10,000.00	
2015	54	4510	5916596	Mass Collision	18A	LMI	\$5,000.00	
2015	54	4557	5872499	Chadene's Boutique	18A	LMI	\$10,000.00	
2015	54	4557	5943274	Chadene's Boutique	18A	LMI	\$5,000.00	
2015	54	4558	5872499	Pine Point variety	18A	LMI	\$10,000.00	
2015	54	4558	5899802	Pine Point variety	18A	LMI	\$5,000.00	
2015	54	4559	5899802	Meadowbrook Lane Inc.	18A	LMI	\$5,995.00	
2015	54	4559	5918457	Meadowbrook Lane Inc.	18A	LMI	\$5,237.50	
2015	54	4559	5925881	Meadowbrook Lane Inc.	18A	LMI	\$17,930.00	
2015	54	4559	5943274	Meadowbrook Lane Inc.	18A	LMI	\$9,500.00	
2015	54	4594	5925881	Salon Brilliance	18A	LMI	\$15,000.00	
2015	54	4611	5918457	White Lion Brewing Co.	18A	LMI	\$10,000.00	
2015	54	4612	5918457	Oldies from the Estate	18A	LMI	\$6,000.00	
2015	54	4612	5943274	Oldies from the Estate	18A	LMI	\$9,000.00	
2015	54	4633	5943274	Italian Bread Shop	18A	LMI	\$7,471.37	
2015	54	4635	5943991	Stock Hair and Beauty Studio	18A	LMI	\$15,000.00	
2015	54	4636	5943991	Stale & Bond LLC	18A	LMI	\$10,000.00	
2015	54	4637	5943991	SciAntics Group Businesses, LLC	18A	LMI	\$10,000.00	
2015	54	4638	5943991	K&O Corp	18A	LMI	\$8,101.79	
2015	54	4639	5943991	Coldless Couture	18A	LMI	\$7,760.64	
							<b>18A Matrix Code</b>	<b>\$192,004.30</b>
2014	75	4377	5049668	Chapin Corner	18B	LMI	\$14,000.00	
2014	75	4377	5863021	Chapin Corner	18B	LMI	\$10,000.00	
2014	75	4377	5862967	Chapin Corner	18B	LMI	\$16,000.00	
2014	75	4377	5917927	Chapin Corner	18B	LMI	\$8,000.00	
2014	75	4377	5925881	Chapin Corner	18B	LMI	\$10,100.00	
							<b>18B Matrix Code</b>	<b>\$58,100.00</b>
2015	48	4481	5872499	Neighborhood Capacity Building	19C	LMA	\$9,708.04	
2015	48	4481	5881716	Neighborhood Capacity Building	19C	LMA	\$2,018.67	
2015	48	4481	5899802	Neighborhood Capacity Building	19C	LMA	\$5,515.53	
2015	48	4481	5918457	Neighborhood Capacity Building	19C	LMA	\$5,414.42	
2015	48	4481	5925881	Neighborhood Capacity Building	19C	LMA	\$1,535.88	
2015	48	4481	5943274	Neighborhood Capacity Building	19C	LMA	\$5,281.18	
							<b>19C Matrix Code</b>	<b>\$30,473.64</b>
<b>Total</b>								<b>\$2,803,952.27</b>

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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2014	3	4237	5839503	Intensive In-Home Case Management	05	LNC	\$826.26
2014	3	4275	5839503	Career Development	05	LNC	\$6,415.19
2014	3	4281	5835796	ESOL/GCD	05	LNC	\$228.98
2015	12	4426	5872499	Worthington Street Program-FOH	05	LNC	\$21,895.64
2015	12	4426	5881716	Worthington Street Program-FOH	05	LNC	\$7,121.89
2015	12	4426	5899802	Worthington Street Program-FOH	05	LNC	\$7,715.29
2015	12	4426	5918457	Worthington Street Program-FOH	05	LNC	\$18,625.03
2015	12	4426	5925881	Worthington Street Program-FOH	05	LNC	\$6,673.17
2015	12	4426	5943274	Worthington Street Program-FOH	05	LNC	\$12,926.00
2015	15	4428	5872499	Community Education Support	05	LNC	\$2,500.00
2015	15	4428	5881716	Community Education Support	05	LNC	\$1,260.00
2015	15	4428	5918457	Community Education Support	05	LNC	\$3,760.00
2015	15	4428	5943274	Community Education Support	05	LNC	\$2,500.00
2015	19	4432	5913901	Senior Food Program	05	LNC	\$9,996.96
2015	23	4436	5899802	ROCA- Transitional Employment	05	LNC	\$5,000.00
2015	29	4442	5925881	Veterans Work First Program	05	LNC	\$3,246.13
2015	29	4442	5943274	Veterans Work First Program	05	LNC	\$1,000.00
2015	31	4444	5872499	Parenting & Lifeskills	05	LNC	\$1,431.60
2015	31	4444	5925881	Parenting & Lifeskills	05	LNC	\$2,984.26
2015	31	4444	5950414	Parenting & Lifeskills	05	LNC	\$614.06
2015	34	4462	5899802	YouthBuild	05	LNC	\$5,045.00
2015	34	4462	5918457	YouthBuild	05	LNC	\$2,345.00
2015	34	4462	5943274	YouthBuild	05	LNC	\$3,750.00
2015	36	4470	5881716	Adult Basic Ed	05	LNC	\$4,896.05
2015	36	4470	5918457	Adult Basic Ed	05	LNC	\$19,590.6A
2015	36	4470	5943274	Adult Basic Ed	05	LNC	\$12,579.79
2015	37	4471	5918457	Homeless Prevention	05	LNC	\$10,404.78
2015	37	4471	5943274	Homeless Prevention	05	LNC	\$5,951.60
					05	Matrix Code	\$175,044.22
2014	3	4248	5835796	Senior Center-Hungry Hill	05A	LNC	\$860.88
2015	16	4429	5872499	Senior Center	05A	LNC	\$132.00
2015	16	4429	5899802	Senior Center	05A	LNC	\$699.6A
2015	16	4429	5918457	Senior Center	05A	LNC	\$1,228.73
2015	16	4429	5925881	Senior Center	05A	LNC	\$285.29
2015	16	4429	5943274	Senior Center	05A	LNC	\$2,125.79
2015	16	4429	5950414	Senior Center	05A	LNC	\$263.05
2015	21	4404	5872499	Senior Center-Plum Point	05A	LNC	\$5,000.00
					05A	Matrix Code	\$10,776.47
2015	20	4433	5872499	Camp Star	05B	LNC	\$79,885.00
					05B	Matrix Code	\$79,965.00
2014	3	4219	5835796	Youth & Young Development	05D	LNC	\$603.55
2014	3	4256	5835796	Bridging the Gap	05D	LNC	\$1,243.26
2014	3	4258	5835796	Indian Orchard Unit	05D	LNC	\$293.00
2014	3	4259	5835796	Youth Swim & Safety	05D	LNC	\$576.78
2014	3	4270	5835796	Teens in Transition	05D	LNC	\$182.03
2014	3	4270	5839503	Teens in Transition	05D	LNC	\$543.14
2014	3	4274	5835796	Digital Connectors	05D	LNC	\$1,324.67
2014	3	4282	5835796	Academic Achievers & Summer in the City	05D	LNC	\$486.48
2015	5	4421	5881716	WEB Dubois Academy	05D	LNC	\$4,572.29
2015	5	4421	5918457	WEB Dubois Academy	05D	LNC	\$953.15
2015	5	4421	5950414	WEB Dubois Academy	05D	LNC	\$357.89
2015	6	4419	5950414	Early Childhood Mental Health Support	05D	LNC	\$4,652.55
2015	7	4420	5872499	ScoutReach	05D	LNC	\$3,446.36
2015	7	4420	5918457	ScoutReach	05D	LNC	\$554.04
2015	8	4422	5899802	Teens in Transition-Family Center	05D	LNC	\$2,242.00
2015	8	4422	5925881	Teens in Transition-Family Center	05D	LNC	\$692.35



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Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2015	8	4422	5943274	Teens in Transition-Family Center	05D	LNC	\$880.23
2015	9	4423	5872499	Camp CASA	05D	LNC	\$4,500.00
2015	10	4424	5872499	Pool Program	05D	LNC	\$77,294.21
2015	11	4425	5872499	Summer Enrichment	05D	LNC	\$102,910.83
2015	13	4407	5872499	Gardening the Community	05D	LNC	\$5,800.00
2015	17	4430	5899802	College & Career Readiness	05D	LNC	\$3,805.64
2015	17	4430	5918457	College & Career Readiness	05D	LNC	\$840.88
2015	17	4430	5925881	College & Career Readiness	05D	LNC	\$1,837.18
2015	17	4430	5943274	College & Career Readiness	05D	LNC	\$1,832.88
2015	24	4437	5872499	Bridging the Gap	05D	LNC	\$1,784.15
2015	24	4437	5881716	Bridging the Gap	05D	LNC	\$4,366.37
2015	24	4437	5899802	Bridging the Gap	05D	LNC	\$1,181.28
2015	24	4437	5918457	Bridging the Gap	05D	LNC	\$1,060.27
2015	24	4437	5925881	Bridging the Gap	05D	LNC	\$2,403.48
2015	24	4437	5943274	Bridging the Gap	05D	LNC	\$1,176.72
2015	24	4437	5950414	Bridging the Gap	05D	LNC	\$2,763.74
2015	25	4438	5872499	Summer Activities	05D	LNC	\$1,568.15
2015	26	4439	5872499	Indian Orchard Unit	05D	LNC	\$23,000.00
2015	26	4439	5925881	Indian Orchard Unit	05D	LNC	\$3,918.48
2015	26	4439	5943274	Indian Orchard Unit	05D	LNC	\$281.26
2015	27	4440	5872499	Youth Swim & Safety	05D	LNC	\$1,145.83
2015	27	4440	5925881	Youth Swim & Safety	05D	LNC	\$2,389.79
2015	27	4440	5943274	Youth Swim & Safety	05D	LNC	\$2,309.42
2015	28	4441	5943274	Literacy Awards Program	05D	LNC	\$357.33
2015	32	4445	5872499	College Career Monitoring	05D	LNC	\$3,376.66
2015	32	4445	5943274	College Career Monitoring	05D	LNC	\$1,236.00
2015	33	4446	5899802	Safe Summer Streets	05D	LNC	\$1,945.00
2015	35	4447	5881716	Teen Prevention Peer Educating	05D	LNC	\$7,500.00
2015	35	4447	5918457	Teen Prevention Peer Educating	05D	LNC	\$300.00
2015	35	4447	5950414	Teen Prevention Peer Educating	05D	LNC	\$1,560.00
2015	38	4472	5881716	TRACKS Program	05D	LNC	\$4,779.85
2015	38	4472	5918457	TRACKS Program	05D	LNC	\$2,519.58
2015	38	4472	5943274	TRACKS Program	05D	LNC	\$4,312.13
2015	41	4475	5881716	Academic Achievers-Summer in the City	05D	LNC	\$3,273.88
2015	41	4475	5918457	Academic Achievers-Summer in the City	05D	LNC	\$10,331.98
2015	41	4475	5943274	Academic Achievers-Summer in the City	05D	LNC	\$3,504.03
					05D	Matrix Code	\$503.10
					05D	Matrix Code	\$308,040.14
2015	44	4472	3925001	New Life Center for Recovery	05F	LNC	\$7,300.00
2015	39	4473	5881716	Recovery Support	05F	LNC	\$4,974.54
2015	39	4473	5918457	Recovery Support	05F	LNC	\$5,414.85
2015	39	4473	5943274	Recovery Support	05F	LNC	\$4,472.48
					05F	Matrix Code	\$21,861.67
2015	65	4474	5918457	Hands in Labor	05H	LNC	\$5,347.76
2015	65	4474	5943274	Hands in Labor	05H	LNC	\$6,580.28
					05H	Matrix Code	\$12,538.04
2014	3	4250	5849668	Offsite Housing	05J	LHCSV	\$1,521.28
2015	18	4431	5899802	Offsite Housing	05J	LNC	\$2,518.53
2015	18	4431	5943274	Offsite Housing	05J	LNC	\$2,389.47
					05J	Matrix Code	\$6,521.28
2015	30	4443	5918457	Access Funds	05L	LNC	\$1,368.13
					05L	Matrix Code	\$1,858.13
<b>Total</b>							<b>\$616,604.95</b>

LINE 37 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 37





Office of Community Planning and Development  
 U.S. Department of Housing and Urban Development  
 Integrated Disbursements and Information System  
 PR26 - CDBG Financial Summary Report  
 Program Year 2015  
 SPRINGFIELD, MA

DATE: 08-29-16  
 TIME: 11:54  
 PAGE: 8

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2014	7	444	5829598	Planning & Admin	21A		\$2,472.15
2015	2	4417	5872493	CDBG Planning & Administration	21A		\$294,516.14
2015	2	4417	5881716	CDBG Planning & Administration	21A		\$42,272.58
2015	2	4417	5899802	CDBG Planning & Administration	21A		\$177,660.74
2015	2	4417	5917527	CDBG Planning & Administration	21A		\$25,852.51
2015	2	4417	5918457	CDBG Planning & Administration	21A		\$143,340.04
2015	2	4417	5925881	CDBG Planning & Administration	21A		\$35,598.98
2015	2	4417	5943274	CDBG Planning & Administration	21A		\$17,735.09
						<b>Matrix Code</b>	<b>\$749,749.16</b>
<b>Total</b>							<b>\$749,749.16</b>



# CR-05 Progress Narrative

## **CR-05 Goals and Outcomes**

### **South End Revitalization**

The City continued to carry out revitalization of this extremely low-income neighborhood, according to plans initiated in 2008. Significant accomplishments include: redevelopment of 22 multi-family historic buildings, which has been brought under single ownership and enhanced with on-site management; expansion and redevelopment of Emerson Wight Park; extensive street-scaping and paving on Main Street and Dwight Street Extension; removal of numerous blighted buildings; facade and small business grants to Main Street storefront businesses; planning for a new community center, to be constructed in 2015-2016; and planning for traffic circulation improvements, which will be completed in 2016. The City and the Springfield Housing Authority partnered to receive a Choice Neighborhoods Planning Grant, which sponsored community engagement and planning that resulted in a comprehensive Neighborhood Transformation Plan. The City applied for and was awarded a 3-year Byrne Criminal Justice Initiative grant which is supporting a major public safety initiative in this neighborhood.

### **Central Street Corridor**

Springfield worked with numerous partners toward the rebuilding of the Central Street Corridor, which was a swath of destruction from the 2011 tornado. Prior to the tornado, HOME funds supported development of six new single-family homes on this street, fortunately still standing and the City demolished an abandoned nursing home. Since the disaster, the City has funded construction--most ongoing--of 10 more single-family homes on Central or adjacent streets. The City has completed construction of a new state-of-the-art elementary school, and has undertaken planning for a major roadway project that will connect and align Central and Hickory Streets. The City has demolished multiple storm-damaged and blighted buildings.

### **Transformative Development Initiative District**

The Transformative Development Initiative (TDI) District formation came to fruition after planning efforts stemming from a 2012 natural gas explosion on Worthington Street in the city's Metro Center neighborhood. The explosion, which occurred in a nightclub building that was leveled, severely damaged several buildings immediately surrounding and damaged dozens of buildings throughout the neighborhood. In subsequent months, the city worked with local non-profit partner DevelopSpringfield to develop a comprehensive redevelopment strategy for the neighborhood. The strategy focused on innovation -- from technology to housing to food -- and became the basis to the city's application to MassDevelopment to be one of their ten inaugural "TDI" Districts, which are a hyper focused planning initiative targeting resources and staff to a very small geographic area. Springfield was not only named one of the first ten cities with a district, but one of just three that was awarded a full-time "fellow" to advance our plan. The district has already seen some significant investments including the redevelopment of a formerly under-performing 265-unit apartment complex into "Silverbrick Lofts"; the construction of the Springfield Innovation Center by DevelopSpringfield, and MassDevelopment's purchase of a troubled nightclub to be repurposed as a positive anchor in the heart of the district. The district itself is centered around Stearns Square at Bridge and Worthington Streets, and reaches out to Main Street and Chestnut Street in the city's downtown. The focus on the neighborhood is also key because of the significant investments surrounding the district -- a \$950 million MGM Springfield project to the south; a \$90 million Union Station renovation immediately to the north, and a new \$7 million Dr. Seuss museum to the East. It is the goal of plan to strengthen the core to better connect these attractions in what is a very walkable downtown.

**Downtown Dining District Fund**

As part of the TDI District the city made a number of commitments as a core partner. First, in upgrading public spaces -- the city has committed to upgrading the major public park in the district, Stearns Square, to become an outdoor destination for residents, businesses, and visitors. As part of that project the city will also upgrade a connecting walkway, known as Duryea Way that helps provide access to the redeveloped Union Station Project. Work on both of these park projects is expected to begin in 2017. The city also committed to apply to the Department of Housing and Urban Development to create a Downtown Dining District Loan Fund (DDDF). The DDDF will provide low interest loan funding with flexible terms to experienced restaurant operators for the development of full service restaurants in the district. The goal of the project is to repopulate currently vacant storefronts with a unique districtwide dining experience that will serve as a destination point while also stimulating new job growth. It is expected the application to HUD will be submitted later this summer.



# e-cart



Springfield  
ESG-CAPER-Reportin

Q5. HMIS DQ & Participation

5a. HMIS or Comparable

Database Data Quality Q5a

Data Element	Client Doesn't Know or Client Refused	Data not collected
First name	0	0
Last name	0	0
SSN	46	45
Date of Birth	3	8
Race	3	2
Ethnicity	5	2
Gender	0	28
Veteran Status	1	27
Disabling condition	5	64
Residence Prior to Entry	0	65
Relationship to Head of Household	0	127
Destination	6	17
Client location for project entry	0	115
Length of Time on Street, in ES or SH	0	62



Combined Report

**Q6. Persons Served**

**6a. Report Validations**

**Table** Q6a

a. Total number of persons served	1261
b. Number of adults (age 18 or over)	1026
c. Number of children (under age 18)	224
d. Number of persons with unknown age	11
e. Total number of leavers	702
f. Total number of adult leavers	653
g. Total number of stayers	559
h. Total number of adult stayers	373
i. Number of veterans	35
j. Number of chronically homeless persons	54
k. Number of adult heads of household	899
l. Number of child heads of household	9
m. Number of unaccompanied youth under age 25	103
n. Number of parenting youth under age 25 with children	15

**6b. Number of Persons Served**

Q6b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Adults	1026	908	118	0	0
b. Children	224	0	197	27	0
c. Don't know / refused	3	0	0	0	3
d. Information missing	8	2	4	1	1
<b>e. Total</b>	<b>1261</b>	<b>910</b>	<b>319</b>	<b>28</b>	<b>4</b>

Combined Report

Q7a. Households Served

7a. Number of Households Served Q7a

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
Total Households	913	809	94	7	3

7b. Point-in-Time Count of Households on the Last Wednesday Q7b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
January	312	240	62	7	3
April	327	253	64	7	3
July	256	200	47	6	3
October	282	220	52	7	3

Q9. Contacts and Engagements

9a. Number of Persons Contacted Q9a

	Total	a. First contact was at a place not meant for human habitation	b. First contact was at a non-residential service setting	c. First contact was at a residential service setting	d. First contact place was missing
a1. Contacted once?	116	0	0	0	0
a2. Contacted 2-5 times?	94	0	0	0	0
a3. Contacted 6-9 times?	41	0	0	0	0
a4. Contacted 10 or more times?	291	0	0	0	0
az. Total persons contacted	553	0	0	0	0

Combined Report

9b. Number of Persons

Engaged

Q9b

	Total	a. First contact was at a place not meant for human habitation	b. First contact was at a non-residential service setting	c. First contact was at a residential service setting	d. First contact place was missing
b1. Engaged after 1 contact?	77	0	0	0	0
b2. Engaged after 2-5 contacts?	0	0	0	0	0
b3. Engaged after 6-9 contacts?	0	0	0	0	0
b4. Engaged after 10 or more contacts?	13	0	0	0	0
<b>bz. Total persons engaged</b>	<b>91</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>c. Rate of engagement (%)</b>	<b>16%</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>

Q10. Gender

10a. Gender of Adults

Q10a

	Total	a. Without children	b. With children and adults	c. Unknown household type
a. Male	545	529	16	0
b. Female	466	374	92	0
c. Transgender male to female	0	0	0	0
d. Transgender female to male	1	1	0	0
e. Other	0	0	0	0
f. Don't know / refused	0	0	0	0
g. Information missing	14	4	10	0
<b>h. Subtotal</b>	<b>1026</b>	<b>908</b>	<b>118</b>	<b>0</b>

Combined Report

10b. Gender of Children Q10b

	Total	a. With children and adults	b. With only children	c. Unknown household type
a. Male	117	102	15	0
b. Female	93	81	12	0
c. Transgender male to female	0	0	0	0
d. Transgender female to male	0	0	0	0
e. Other	0	0	0	0
f. Don't know / refused	0	0	0	0
g. Information missing	14	14	0	0
<b>h. Subtotal</b>	<b>224</b>	<b>197</b>	<b>27</b>	<b>0</b>

10c. Gender of Persons  
Missing Age Information Q10c

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Male	4	2	2	0	0
b. Female	7	0	2	1	4
c. Transgender male to female	0	0	0	0	0
d. Transgender female to male	0	0	0	0	0
e. Other	0	0	0	0	0
f. Don't know / refused	0	0	0	0	0
g. Information missing	0	0	0	0	0
<b>h. Subtotal</b>	<b>11</b>	<b>2</b>	<b>4</b>	<b>1</b>	<b>4</b>

Combined Report

10d. Gender by Age

Q10d

	Total	a. Under age 18	b. Age 18-24	c. Age 25-61	d. Age 62 and over	e. Client Doesn't Know/Client Refused	f. Data not collected
a. Male	666	117	77	427	41	0	4
b. Female	566	93	64	353	49	3	4
c. Transgender male to female	0	0	0	0	0	0	0
d. Transgender female to male	1	0	0	1	0	0	0
e. Other	0	0	0	0	0	0	0
f. Don't know / refused	0	0	0	0	0	0	0
g. Information missing	28	14	3	11	0	0	0
<b>h. Total</b>	<b>1261</b>	<b>224</b>	<b>144</b>	<b>792</b>	<b>90</b>	<b>3</b>	<b>8</b>

Q11. Age

Q11

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Under 5	55	0	50	5	0
b. 5 - 12	120	0	107	13	0
c. 13 - 17	49	0	40	9	0
d. 18 - 24	144	116	28	0	0
e. 25 - 34	230	180	50	0	0
f. 35 - 44	208	183	25	0	0
g. 45 - 54	241	229	12	0	0
h. 55 - 61	113	111	2	0	0
i. 62+	90	89	1	0	0
j. Don't know / refused	3	0	0	0	3
k. Information missing	8	2	4	1	1
<b>l. Total</b>	<b>1261</b>	<b>910</b>	<b>319</b>	<b>28</b>	<b>4</b>

Combined Report

Q12. Race & Ethnicity

12a. Race Q12a

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. White	912	673	228	9	2
b. Black or African-American	285	204	67	13	1
c. Asian	2	1	0	0	1
d. American Indian or Alaska Native	6	6	0	0	0
e. Native Hawaiian or Other Pacific Islander	6	6	0	0	0
f. Multiple races	38	16	22	0	0
g. Don't know / refused	3	0	2	1	0
h. Information missing	9	4	0	5	0
<b>i. Total</b>	<b>1261</b>	<b>910</b>	<b>319</b>	<b>28</b>	<b>4</b>

12b. Ethnicity Q12b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Non-Hispanic/non-Latino	662	509	131	18	4
b. Hispanic/Latino	592	398	185	9	0
c. Don't know / refused	5	1	3	1	0
d. Information missing	2	2	0	0	0
<b>e. Total</b>	<b>1261</b>	<b>910</b>	<b>319</b>	<b>28</b>	<b>4</b>

**Q13. Physical and Mental Health Conditions**

**13a1. Physical and Mental Health Conditions at Entry**

Q13a1

	Total persons	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Mental illness	316	218	87	8	3
b. Alcohol abuse	37	35	1	1	0
c. Drug abuse	23	16	5	2	0
d. Both alcohol and drug abuse	7	6	0	1	0
e. Chronic health condition	163	133	27	1	2
f. HIV/AIDS and related diseases	1	1	0	0	0
g. Developmental disability	73	42	30	1	0
h. Physical disability	114	94	18	1	1

**13b1. Physical and Mental Health Conditions of Leavers**

Q13b1

	Total persons	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Mental illness	218	157	53	6	2
b. Alcohol abuse	31	29	1	1	0
c. Drug abuse	19	12	5	2	0
d. Both alcohol and drug abuse	4	3	0	1	0
e. Chronic health condition	124	106	15	1	2
f. HIV/AIDS and related diseases	1	1	0	0	0
g. Developmental disability	54	37	16	1	0
h. Physical disability	81	69	10	1	1

Combined Report

13c1. Physical and  
Mental Health  
Conditions of Stayers

Q13c1

	Total persons	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Mental illness	117	72	41	3	1
b. Alcohol abuse	12	11	1	0	0
c. Drug abuse	9	7	2	0	0
d. Both alcohol and drug abuse	4	2	1	1	0
e. Chronic health condition	53	38	15	0	0
f. HIV/AIDS and related diseases	1	1	0	0	0
g. Developmental disability	20	6	14	0	0
h. Physical disability	35	27	8	0	0

Q14. Domestic Violence

14a. Persons with  
Domestic Violence  
History

Q14a

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Yes	114	63	50	1	0
b. No	254	198	49	6	1
c. Don't know / refused	2	2	0	0	0
d. Information missing	670	646	22	0	2
e. Total	1040	909	121	7	3

14b. Persons Fleeing  
Domestic Violence

Q14b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Yes	13	2	11	0	0
b. No	57	39	17	1	0
c. Don't know / refused	0	0	0	0	0
d. Information missing	44	22	22	0	0
e. Total	114	63	50	1	0



Combined Report

Q15. Residence Prior to Project Entry Q15

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
<b>a. Homeless situations</b>					
a1. Emergency shelter	38	37	0	1	0
a2. Transitional housing for homeless persons	20	20	0	0	0
a3. Place not meant for human habitation	163	163	0	0	0
a4. Safe haven	3	1	2	0	0
az. Total	224	221	2	1	0
<b>b. Institutional settings</b>					
b1. Psychiatric facility	17	17	0	0	0
b2. Substance abuse or detox center	16	16	0	0	0
b3. Hospital (non-psychiatric)	15	15	0	0	0
b4. Jail, prison or juvenile detention	16	16	0	0	0
b5. Foster care home or foster care group home	1	1	0	0	0
b6. Long-term care facility or nursing home	0	0	0	0	0
b7. Residential project or halfway house with no homeless criteria	1	1	0	0	0
bz. Total	66	66	0	0	0

Combined Report

c. Other locations					
c01. PH for homeless persons	2	1	0	0	1
c02. Owned by client, no subsidy	2	2	0	0	0
c03. Owned by client, with subsidy	0	0	0	0	0
c04. Rental by client, no subsidy	111	87	24	0	0
c05. Rental by client, with VASH subsidy	2	2	0	0	0
c06. Rental by client, with GPD TIP subsidy	1	1	0	0	0
c07. Rental by client, with other subsidy	229	167	54	6	2
c08. Hotel or motel paid by client	32	32	0	0	0
c09. Staying or living with friend(s)	156	151	5	0	0
c10. Staying or living with family	141	120	21	0	0
c11. Other	9	9	0	0	0
c12. Don't know / refused	0	0	0	0	0
c13. Information missing	65	50	15	0	0
cz. Total	750	622	119	6	3
d. Total	1040	909	121	7	3

Q20. Non-Cash Benefits

20a. Type of Non-Cash Benefit Sources

Q20a

	At entry	At Latest Annual Assessment for Stayers	At Exit for Leavers
a. Supplemental Nutritional Assistance Program	263	0	134
b. WIC	8	0	2
c. TANF Child Care services	3	0	1
d. TANF transportation services	0	0	0
e. Other TANF-funded services	0	0	0
f. Other source	1	0	3

Combined Report

Q21. Health Insurance Q21

	At entry	At Latest Annual Assessment for Stayers	At Exit for Leavers
a. MEDICAID health insurance	303	0	86
b. MEDICARE health insurance	84	0	12
c. State Children's Health Insurance	73	0	34
d. VA Medical Services	6	0	2
e. Employer-provided health insurance	5	0	4
f. Health insurance through COBRA	1	0	1
g. Private pay health insurance	4	0	1
h. State Health Insurance for Adults	142	0	69
i. No health insurance	7	0	4
j. Client doesn't know/Client refused	0	0	0
k. Data not collected	760	334	496
l. Number of adult stayers not yet required to have an annual assessment	0	225	0
m. 1 source of health insurance	380	0	283
n. More than 1 source of health insurance	114	0	74

**Q22. Length of Participation**

**Q22a2. Length of Participation—ESG projects**

Q22a2

	Total	Leavers	Stayers
a. 0 to 7 days	256	247	9
b. 8 to 14 days	87	75	12
c. 15 to 21 days	58	55	3
d. 22 to 30 days	59	48	11
e. 31 to 60 days	123	90	33
f. 61 to 90 days	77	52	25
g. 91 to 180 days	150	90	60
h. 181 to 365 days	103	34	69
i. 366 to 730 days (1-2 yrs.)	172	10	162
j. 731 to 1095 days (2-3 yrs.)	126	1	125
k. 1096 to 1460 days (3-4 yrs.)	50	0	50
l. 1461 to 1825 days (4-5 yrs.)	0	0	0
m. More than 1825 days (>5 yrs.)	0	0	0
n. Information missing	0	0	0
<b>o. Total</b>	<b>1261</b>	<b>702</b>	<b>559</b>

**Q22c. RRH Length of Time between Project Entry Date and Residential Move-in Date**

Q22c

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. 0-7 days	0	0	0	0	0
b. 8-14 days	0	0	0	0	0
c. 15-21 days	0	0	0	0	0
d. 22 to 30 days	0	0	0	0	0
e. 31 to 60 days	0	0	0	0	0
f. 61 to 180 days	0	0	0	0	0
g. 181 to 365 days	0	0	0	0	0
h. 366 to 730 days (1-2 yrs.)	0	0	0	0	0
i. Data Not Collected	0	0	0	0	0
<b>j. Total</b>	<b>129</b>	<b>61</b>	<b>57</b>	<b>11</b>	<b>0</b>

Combined Report

Q22d. Length of Participation by Household type

Q22d

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. 0 to 7 days	256	236	20	0	0
b. 8 to 14 days	87	71	16	0	0
c. 15 to 21 days	58	48	10	0	0
d. 22 to 30 days	59	47	12	0	0
e. 31 to 60 days	123	93	30	0	0
f. 61 to 90 days	77	59	18	0	0
g. 91 to 180 days	150	111	39	0	0
h. 181 to 365 days	103	68	34	1	0
i. 366 to 730 days (1-2 yrs.)	172	87	69	15	1
j. 731 to 1095 days (2-3 yrs.)	126	59	63	1	3
k. 1096 to 1460 days (3-4 yrs.)	50	31	8	11	0
l. 1461 to 1825 days (4-5 yrs.)	0	0	0	0	0
m. More than 1825 days (>5 yrs.)	0	0	0	0	0
n. Information missing	0	0	0	0	0
<b>o. Total</b>	<b>1261</b>	<b>910</b>	<b>319</b>	<b>28</b>	<b>4</b>

Combined Report

Q23. Exit Destination –  
More than 90 Days Q23

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
<b>a. Permanent destinations</b>					
a01. Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
a02. Owned by client, no ongoing subsidy	0	0	0	0	0
a03. Owned by client, with ongoing subsidy	0	0	0	0	0
a04. Rental by client, no ongoing subsidy	33	21	12	0	0
a05. Rental by client, VASH subsidy	0	0	0	0	0
a06. Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
a07. Rental by client, other ongoing subsidy	1	1	0	0	0
a08. Permanent housing for homeless persons	0	0	0	0	0
a09. Staying or living with family, permanent tenure	0	0	0	0	0
a10. Staying or living with friends, permanent tenure	0	0	0	0	0
az. Total	34	22	12	0	0

Combined Report

<b>b. Temporary destinations</b>					
b1. Emergency shelter	0	0	0	0	0
b2. Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
b3. Transitional housing for homeless persons	0	0	0	0	0
b4. Staying with family, temporary tenure	0	0	0	0	0
b5. Staying with friends, temporary tenure	0	0	0	0	0
b6. Place not meant for human habitation	0	0	0	0	0
b7. Safe Haven	0	0	0	0	0
b8. Hotel or motel paid by client	0	0	0	0	0
bz. Total	0	0	0	0	0
<b>c. Institutional settings</b>					
c1. Foster care home or group foster care home	0	0	0	0	0
c2. Psychiatric hospital or other psychiatric facility	0	0	0	0	0
c3. Substance abuse treatment facility or detox center	0	0	0	0	0
c4. Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
c5. Jail, prison or juvenile detention facility	0	0	0	0	0
c6. Long term care facility or nursing home	0	0	0	0	0
cz. Total	0	0	0	0	0

Combined Report

<b>d. Other destinations</b>					
d1. Residential project or halfway house with no homeless criteria	0	0	0	0	0
d2. Deceased	0	0	0	0	0
d3. Other	0	0	0	0	0
d4. Don't know / refused	0	0	0	0	0
d5. Information missing	2	2	0	0	0
dz. Total	0	0	0	0	0
<b>e. Total</b>	<b>36</b>	<b>24</b>	<b>12</b>	<b>0</b>	<b>0</b>



Combined Report

Q23a. Exit

Destination—All persons

Q23a

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
<b>a. Permanent destinations</b>					
a01. Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
a02. Owned by client, no ongoing subsidy	0	0	0	0	0
a03. Owned by client, with ongoing subsidy	0	0	0	0	0
a04. Rental by client, no ongoing subsidy	83	77	6	0	0
a05. Rental by client, VASH subsidy	3	3	0	0	0
a06. Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
a07. Rental by client, other ongoing subsidy	44	31	13	0	0
a08. Permanent housing for homeless persons	12	12	0	0	0
a09. Staying or living with family, permanent tenure	34	34	0	0	0
a10. Staying or living with friends, permanent tenure	2	2	0	0	0
az. Total	178	159	19	0	0

Combined Report

<b>b. Temporary destinations</b>					
b1. Emergency shelter	15	12	3	0	0
b2. Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
b3. Transitional housing for homeless persons	6	1	5	0	0
b4. Staying with family, temporary tenure	8	6	2	0	0
b5. Staying with friends, temporary tenure	4	2	2	0	0
b6. Place not meant for human habitation	0	0	0	0	0
b7. Safe Haven	0	0	0	0	0
b8. Hotel or motel paid by client	1	1	0	0	0
<b>bz. Total</b>	<b>34</b>	<b>22</b>	<b>12</b>	<b>0</b>	<b>0</b>
<b>c. Institutional settings</b>					
c1. Foster care home or group foster care home	0	0	0	0	0
c2. Psychiatric hospital or other psychiatric facility	1	1	0	0	0
c3. Substance abuse treatment facility or detox center	2	2	0	0	0
c4. Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
c5. Jail, prison or juvenile detention facility	0	0	0	0	0
c6. Long term care facility or nursing home	0	0	0	0	0
<b>cz. Total</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>

Combined Report

<b>d. Other destinations</b>					
d1. Residential project or halfway house with no homeless criteria	1	1	0	0	0
d2. Deceased	0	0	0	0	0
d3. Other	3	3	0	0	0
d4. Don't know / refused	6	1	5	0	0
d5. Information missing	375	373	2	0	0
dz. Total	385	378	7	0	0
<b>e. Total</b>	<b>600</b>	<b>562</b>	<b>38</b>	<b>0</b>	<b>0</b>

Combined Report

**Q23b. Homeless  
Prevention Housing  
Assessment at Exit**

Q23b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Able to maintain the housing they had at project entry--Without a subsidy	37	31	6	0	0
b. Able to maintain the housing they had at project entry--With the subsidy they had at project entry	17	12	5	0	0
c. Able to maintain the housing they had at project entry--With an on-going subsidy acquired since project entry	0	0	0	0	0
d. Able to maintain the housing they had at project entry--Only with financial assistance other than a subsidy	0	0	0	0	0
e. Moved to new housing unit--With on-going subsidy	18	13	5	0	0
f. Moved to new housing unit--Without an on-going subsidy	26	25	1	0	0
g. Moved in with family/friends on a temporary basis	0	0	0	0	0
h. Moved in with family/friends on a permanent basis	0	0	0	0	0
i. Moved to a transitional or temporary housing facility or program	0	0	0	0	0
j. Client became homeless-moving to a shelter or other place unfit for human habitation	0	0	0	0	0
k. Client went to jail/prison	0	0	0	0	0

Combined Report

l. Client died	0	0	0	0	0
m. Client doesn't know/Client refused	0	0	0	0	0
n. Data not collected (no exit interview completed)	2	0	2	0	0
<b>o. Total</b>	<b>100</b>	<b>81</b>	<b>19</b>	<b>0</b>	<b>0</b>

Combined Report

Q24. Exit Destination –  
90 Days or Less Q24

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
<b>a. Permanent destinations</b>					
a01. Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
a02. Owned by client, no ongoing subsidy	0	0	0	0	0
a03. Owned by client, with ongoing subsidy	0	0	0	0	0
a04. Rental by client, no ongoing subsidy	21	17	4	0	0
a05. Rental by client, VASH subsidy	0	0	0	0	0
a06. Rental by client, with GPD TIP housing subsidy	0	0	0	0	0
a07. Rental by client, other ongoing subsidy	39	16	23	0	0
a08. Permanent housing for homeless persons	0	0	0	0	0
a09. Staying or living with family, permanent tenure	0	0	0	0	0
a10. Staying or living with friends, permanent tenure	0	0	0	0	0
az. Total	60	33	27	0	0

Combined Report

<b>b. Temporary destinations</b>					
b1. Emergency shelter	0	0	0	0	0
b2. Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
b3. Transitional housing for homeless persons	0	0	0	0	0
b4. Staying with family, temporary tenure	0	0	0	0	0
b5. Staying with friends, temporary tenure	0	0	0	0	0
b6. Place not meant for human habitation	0	0	0	0	0
b7. Safe Haven	0	0	0	0	0
b8. Hotel or motel paid by client	0	0	0	0	0
bz. Total	0	0	0	0	0
<b>c. Institutional settings</b>					
c1. Foster care home or group foster care home	0	0	0	0	0
c2. Psychiatric hospital or other psychiatric facility	0	0	0	0	0
c3. Substance abuse treatment facility or detox center	0	0	0	0	0
c4. Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
c5. Jail, prison or juvenile detention facility	0	0	0	0	0
c6. Long term care facility or nursing home	0	0	0	0	0
cz. Total	0	0	0	0	0

Combined Report

<b>d. Other destinations</b>					
d1. Residential project or halfway house with no homeless criteria	0	0	0	0	0
d2. Deceased	0	0	0	0	0
d3. Other	0	0	0	0	0
d4. Don't know / refused	0	0	0	0	0
d5. Information missing	6	0	6	0	0
dz. Total	6	0	6	0	0
<b>e. Total</b>	<b>66</b>	<b>33</b>	<b>33</b>	<b>0</b>	<b>0</b>

**25a. Number of Veterans** Q25a

	Total	a. Without children	b. With children and adults	c. Unknown household type
a. Chronically homeless veteran	2	2	0	0
b. Non-chronically homeless veteran	33	29	4	0
c. Not a veteran	963	855	108	0
d. Client Doesn't Know/Client Refused	1	1	0	0
e. Data Not Collected	27	21	6	0
<b>f. Total</b>	<b>1026</b>	<b>908</b>	<b>118</b>	<b>0</b>

**Q26b. Number of Chronically Homeless Persons by Household** Q26b

	Total	a. Without children	b. With children and adults	c. With only children	d. Unknown household type
a. Chronically homeless	54	53	0	1	0
b. Not chronically homeless	1207	857	319	27	4
c. Client Doesn't Know/Client Refused	0	0	0	0	0
d. Data Not Collected	0	0	0	0	0
<b>e. Total</b>	<b>1261</b>	<b>910</b>	<b>319</b>	<b>28</b>	<b>4</b>



# HOME reports

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U.S. Department of Housing and Urban Development  
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 Drawdown Report by Project and Activity  
 SPRINGFIELD, MA

REPORT FOR PROGRAM : HOME  
 PGM YR : 2015  
 PROJECT : 0061  
 ACTIVITY : ALL

Program Year/ Project	IDCS Act ID	Activity Name	Prch Year	Year	Line Item	Volume Status	OCSS Sof Date	Grant Year	Grant Number	Fund Type	Drawn Amount
2015 61 Tenant Based Rental Assistance (TBRA)	4457	Jesper Chirn-Velazquez/Hart			55	Completed	11/17/2015	2015	M15MNC250209	EN	\$2,595.00
					19	Completed	12/29/2015	2015	M15MNC250209	EN	\$1,026.00
					16	Completed	2/10/2016	2015	M15MNC250209	EN	\$513.00
					13	Completed	3/23/2016	2015	M15MNC250209	EN	\$513.00
					11	Completed	4/11/2016	2015	M15MNC250209	EN	\$513.00
					9	Completed	5/12/2016	2015	M15MNC250209	EN	\$513.00
					8	Completed	5/31/2016	2015	M15MNC250209	EN	\$513.00
					Activity Total						
2015 61 Tenant Based Rental Assistance (TBRA)	4458	Maurzaj/Carmon			54	Completed	11/07/2015	2015	M15MNC250209	EN	\$4,690.00
					18	Completed	07/29/2015	2015	M15MNC250209	EN	\$1,872.00
					15	Completed	2/10/2016	2015	M15MNC250209	EN	\$836.00
					12	Completed	3/23/2016	2015	M15MNC250209	EN	\$936.00
					10	Completed	4/11/2016	2015	M15MNC250209	EN	\$936.00
					8	Completed	5/12/2016	2015	M15MNC250209	EN	\$936.00
					7	Completed	5/31/2016	2015	M15MNC250209	EN	\$936.00
Activity Total											\$11,232.00
2015 61 Tenant Based Rental Assistance (TBRA)	4459	Perell/Moyet			53	Completed	11/17/2015	2015	M15MNC250209	EN	\$2,503.00
					17	Completed	12/29/2015	2015	M15MNC250209	EN	\$1,026.00
					14	Completed	2/10/2016	2015	M15MNC250209	EN	\$513.00
Activity Total											\$513.00

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IDIS - PROS

Program Year/ Project	IDIS Act ID	Activity Name	Prior Voucher Year	Line Item Number	Line Item Status	LOOS Send Date	Grant Year	Grant N Number	Fund Type	Drawn Amount
2015 6L Tenant Based Rental Assistance (TBRA)	4450	Grosssett/Hillides		5944214	9	Completed	4/11/2016	2015	M15MCI50209 EA	\$513.00
				5925123	7	Completed	5/22/2016	2015	M15MCI50209 EA	\$513.00
				5930655	6	Completed	5/31/2016	2015	M15MCI50209 EA	\$513.00
						Activity Total			\$1,539.00	
				5968669	52	Completed	1/17/2015	2015	M15MCI50209 EA	\$3,185.00
				5881708	16	Completed	12/29/2015	2015	M15MCI50209 EA	\$1,274.00
2015 6L Tenant Based Rental Assistance (TBRA)	4453	A&J Realty/Mars		5895218	23	Completed	2/20/2016	2015	M15MCI50209 EA	\$637.00
				5907961	10	Completed	3/21/2016	2015	M15MCI50209 EA	\$637.00
				5814214	8	Completed	4/11/2016	2015	M15MCI50209 EA	\$637.00
				5925123	6	Completed	5/22/2016	2015	M15MCI50209 EA	\$637.00
				5930655	5	Completed	5/31/2016	2015	M15MCI50209 EA	\$637.00
						Activity Total			\$7,644.00	
2015 6L Tenant Based Rental Assistance (TBRA)	4464	Glory Realty/Hall		5868669	59	Completed	1/17/2015	2015	M15MCI50209 EA	\$3,740.00
				5881708	23	Completed	12/29/2015	2015	M15MCI50209 EA	\$1,996.00
				5895218	20	Completed	2/20/2016	2015	M15MCI50209 EA	\$748.00
				5907961	17	Completed	3/21/2016	2015	M15MCI50209 EA	\$748.00
				5814214	15	Completed	4/11/2016	2015	M15MCI50209 EA	\$748.00
				5925123	13	Completed	5/22/2016	2015	M15MCI50209 EA	\$748.00
		Activity Total			\$8,976.00					
2015 6L Tenant Based Rental Assistance (TBRA)	4464	Glory Realty/Hall		5668673	2	Completed	11/17/2015	2015	M15MCI50209 EA	\$3,240.00
				5881708	25	Completed	12/29/2015	2015	M15MCI50209 EA	\$1,296.00
				5895218	22	Completed	2/20/2016	2015	M15MCI50209 EA	\$648.00
		Activity Total			\$6,480.00					

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Program Year/ Project	IDIS AC ID	Activity Name	Prior Year	Voucher Number	Line Item	Voucher Status	LOCCS Send Date	Grant Year	Grant Nbr	Fund Type	Drawn Amount				
2015 61	4465	Tenant Based Rental Assistance (TBRA)		3914214	17	Completed	4/17/2016	2015	M15MCC250209	EN	\$648.00				
				3915123	15	Completed	5/12/2016	2015	M15MCC250209	EN	\$648.00				
				3930695	14	Completed	5/25/2016	2015	M15MCC250209	EN	\$648.00				
<b>Activity Total</b>											<b>\$7,776.00</b>				
2015 61	4465	Tenant Based Rental Assistance (TBRA)		3868669	58	Completed	11/17/2015	2015	M15MCC250209	EN	\$2,880.00				
				3881708	22	Completed	12/29/2015	2015	M15MCC250209	EN	\$1,157.00				
				3895218	19	Completed	2/10/2016	2015	M15MCC250209	EN	\$576.00				
				3907961	16	Completed	3/21/2016	2015	M15MCC250209	EN	\$576.00				
				3914214	14	Completed	4/11/2016	2015	M15MCC250209	EN	\$576.00				
				3925123	12	Completed	5/12/2016	2015	M15MCC250209	EN	\$576.00				
				3930695	11	Completed	5/31/2016	2015	M15MCC250209	EN	\$576.00				
				<b>Activity Total</b>											<b>\$6,812.00</b>
				2015 61	4466	Full Homelessness		3868669	56	Completed	11/17/2015	2015	M15MCC250209	EN	\$2,680.00
								3881708	20	Completed	12/29/2015	2015	M15MCC250209	EN	\$1,072.00
								3895218	17	Completed	2/10/2016	2015	M15MCC250209	EN	\$536.00
3907961	14	Completed	3/21/2016					2015	M15MCC250209	EN	\$536.00				
3914214	12	Completed	4/11/2016					2015	M15MCC250209	EN	\$536.00				
3925123	10	Completed	5/12/2016					2015	M15MCC250209	EN	\$536.00				
3930695	9	Completed	5/31/2016	2015	M15MCC250209	EN	\$536.00								
<b>Activity Total</b>											<b>\$6,432.00</b>				
2015 61	4467	Tenant Based Rental Assistance (TBRA)		3868669	50	Completed	11/17/2015	2015	M15MCC250209	EN	\$2,648.00				
				3881708	14	Completed	12/29/2015	2015	M15MCC250209	EN	\$1,076.00				
				3895218	11	Completed	2/10/2016	2015	M15MCC250209	EN	\$536.00				
3907961	8	Completed	3/21/2016	2015	M15MCC250209	EN	\$536.00								

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2015 - PROJ

Program Year/ Project	IDIS Act ID	Activity Name	Prior Year	Voucher Year	Line Number	Item Number	Line Status	Grant Year	Grant Number	Fund Type	Drawn Amount						
2015	E1	Tenant Based Rental Assistance (TBRA)			4468	A&J Realty/Martinez	Completed	2015	M15M0250209	EN	5538.00						
							Completed	2015	M15M0250209	EN	5538.00						
							Completed	2015	M15M0250209	EN	5538.00						
							Activity Total										
							Completed	2015	M15M0250209	EN	5885669	60	Completed	2015	M15M0250209	EN	\$3,410.00
							Completed	2015	M15M0250209	EN	5881708	24	Completed	2015	M15M0250209	EN	\$1,364.00
							Completed	2015	M15M0250209	EN	5895218	11	Completed	2015	M15M0250209	EN	\$662.00
							Completed	2015	M15M0250209	EN	5937361	18	Completed	2015	M15M0250209	EN	\$682.00
							Completed	2015	M15M0250209	EN	5924214	16	Completed	2015	M15M0250209	EN	\$662.00
							Completed	2015	M15M0250209	EN	5925223	14	Completed	2015	M15M0250209	EN	\$682.00
							Completed	2015	M15M0250209	EN	5933695	13	Completed	2015	M15M0250209	EN	\$682.00
							Activity Total										
							2015	E1	Tenant Based Rental Assistance (TBRA)		4469	Masswest/Torres	Completed	2015	M15M0250209	EN	5885673
Completed	2015	M15M0250209	EN	5881708	26	Completed							2015	M15M0250209	EN	\$1,150.00	
Completed	2015	M15M0250209	EN	5895218	23	Completed							2015	M15M0250209	EN	\$595.00	
Completed	2015	M15M0250209	EN	5937361	20	Completed							2015	M15M0250209	EN	\$595.00	
Completed	2015	M15M0250209	EN	5924214	18	Completed							2015	M15M0250209	EN	\$595.00	
Completed	2015	M15M0250209	EN	5925223	16	Completed							2015	M15M0250209	EN	\$595.00	
Completed	2015	M15M0250209	EN	5933695	15	Completed							2015	M15M0250209	EN	\$595.00	
Activity Total																	
Completed	2015	M15M0250209	EN	5885673	4	Completed							2015	M15M0250209	EN	\$2,425.00	
Completed	2015	M15M0250209	EN	5881708	27	Completed							2015	M15M0250209	EN	\$970.00	
Completed	2015	M15M0250209	EN	5895218	24	Completed							2015	M15M0250209	EN	\$485.00	
Completed	2015	M15M0250209	EN	5907961	21	Completed							2015	M15M0250209	EN	\$485.00	
Activity Total																	

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2015 61	4538	Tenant Based Rental Assistance (TBRA)		594474	19	Completed	4/11/2015	2015	M15MC250209	EN		\$485.00			
				5925123	17	Completed	5/12/2015	2015	M15MC250209	EN		\$485.00			
				5930655	16	Completed	5/31/2015	2015	M15MC250209	EN		\$485.00			
				<b>Activity Total</b>											
				5969665	57	Completed	11/17/2015	2015	M15MC250209	EN				\$3,411.00	
				5981708	71	Completed	12/29/2015	2015	M15MC250209	EN				\$1,394.00	
				5995218	38	Completed	2/10/2015	2015	M15MC250209	EN				\$582.00	
				5907561	35	Completed	3/21/2015	2015	M15MC250209	EN				\$582.00	
				5914214	33	Completed	4/11/2015	2015	M15MC250209	EN				\$582.00	
				5925123	11	Completed	5/22/2015	2015	M15MC250209	EN				\$582.00	
5930655	10	Completed	5/31/2015	2015	M15MC250209	FN				\$682.00					
<b>Activity Total</b>															
<b>\$8,194.00</b>															
2015 61	4582	Tenant Based Rental Assistance (TBRA)		5964218	25	Completed	7/10/2015	2014	M14MC250209	EN		\$59,118.00			
				5907561	22	Completed	8/21/2015	2014	M14MC250209	EN		\$23,728.75			
				5925123	18	Completed	5/12/2015	2014	M14MC250209	EN		\$24,013.00			
				5935445	1	Completed	5/23/2015	2014	M14MC250209	EN		\$30,136.02			
				5936445	2	Completed	6/23/2015	2015	M15MC250209	FN		\$1,658.98			
				5950653	1	Completed	8/3/2016	2014	M14MC250209	FN		\$24,556.40			
				5950653	3	Completed	8/3/2016	2015	M15MC250209	FN		\$37,760			
				<b>Activity Total</b>											
				<b>\$248,956.76</b>											
<b>Project Total</b>											<b>240,675.76</b>				



IDIS - PROJ9

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development  
Integrated Disbursement and Information System  
Program Income Details by Fiscal Year and Program  
SPRINGFIELD, MA

Date: 09-27-16  
Time: 11:28  
Page: 1

Report for Program: HOME  
\*Data Only Provided for Time Period Queried: 07-01-2015 to 09-27-2016

Program Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Received/Drawn Amount	
2015	HOME	M15MC250209	PI	0.00	RECEIPTS							9,629.93	
			PI		DRAWS	5179667006	07-08-16					3,000.00	
						5868669001	11-17-15	PY	44	4450		1,737.89	
						5868669003	11-17-15	PY	44	4451		835.26	
						5895218002	02-10-16	PY	44	4580		94.14	
						5914214002	04-11-16	PY	44	4591		1,621.57	
						5925123002	05-12-16	PY	44	4613		1,858.98	
						5936485002	06-20-16	PY	51	4582		317.60	
						5950653002	08-03-16	PY	51	4582			
			PA		DRAWS							70.56	
						5914214004	04-11-16	PY	56	4537		58.65	
						5936485004	06-20-16	PY	56	4537		35.28	
						5942936002	07-08-16	PY	56	4537			
												Receipts	9,629.93
												PI Draws	9,465.44
												PA Draws	164.49
												Balance	(0.00)
2015	HOME	M15MC250209										Total Local Account Receipts	9,629.93
												Total Local Account Draws	9,629.93
												Total Local Account Balance	0.00

Page: 1 of 2



Program Year	Program	Associated Grant Number	Fund Type	Estimated Income for Year	Transaction	Voucher #	Voucher Created	Voucher Type	IDIS Proj. ID	IDIS Actv. ID	Matrix Code	Receipts/Drawn Amount
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# HOPWA CAPER



## Housing Opportunities for Persons with AIDS (HOPWA) Program

### Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

**Revised 1/22/15**

**OMB Number 2506-0133 (Expiration Date: 12/31/2017)**

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**Overview.** The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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**Continued Use Periods.** Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use

periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at [HOPWA@hud.gov](mailto:HOPWA@hud.gov). Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

**Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

**Definitions**

**Adjustment for Duplication:** Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

<b>HOPWA Housing Subsidy Assistance</b>	<b>[1] Outputs: Number of Households</b>
---	--

1.	Tenant-Based Rental Assistance	1
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units	
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies	
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year	
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1
6.	<b>TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)</b>	1

**Administrative Costs:** Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

**Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

**Central Contractor Registration (CCR):** The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (grantees) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all grantees and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

**Chronically Homeless Person:** An individual or family who : (i) is homeless and lives or resides individual or family who: (i) is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

**Disabling Condition:** Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

**Facility-Based Housing Assistance:** All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

**HOPWA Eligible Individual:** The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

**HOPWA Housing Information Services:** Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

**HOPWA Housing Subsidy Assistance Total:** The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

related to the housing project but not staff costs for delivering services.

**Household:** A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

**Housing Stability:** The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

**Live-In Aide:** A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403* and the *HOPWA Grantee Oversight Resource Guide* for additional reference.

**Master Leasing:** Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

**Operating Costs:** Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly

**Outcome:** The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

**Output:** The number of units of housing or households that receive HOPWA assistance during the operating year.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

**Project-Based Rental Assistance (PBRA):** A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

**Project Sponsor Organizations:** Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding	Grantee	Project Sponsor
-------------	---------	-----------------

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance:** A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.



**Stewardship Units:** Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

**Subrecipient Organization:** Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding      Grantee      Project Sponsor      Subrecipient

**Tenant-Based Rental Assistance (TBRA):** TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

**Transgender:** Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

**Veteran:** A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

**Transgender:** Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

**Part 1: Grantee Executive Summary**

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definition section for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.*

**1. Grantee Information**

HUD Grant Number		Operating Year for this report	
MAH15-F002		From (mm/dd/yy) 7/1/15 To (mm/dd/yy) 6/30/16	
Grantee Name			
City of Springfield, MA			
Business Address		1600 East Columbus Avenue	

City, County, State, Zip	Springfield	Hampden	MA	01103
Employer Identification Number (EIN) or Tax Identification Number (TIN)	EIN# 04-6001415			
DUN & Bradstreet Number (DUNS):	DUNS# 073011921	<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 4ALL7		
*Congressional District of Grantee's Business Address				
*Congressional District of Primary Service Area(s)				
*City(ies) and County(ies) of Primary Service Area(s)		Counties:		
Organization's Website Address  <a href="http://Springfieldcityhall.com">Springfieldcityhall.com</a>	Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes <input type="checkbox"/> No  If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.			

\* Service delivery area information only needed for program activities being directly carried out by the grantee.

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

Project Sponsor Agency Name  HIV AIDS Law Consortium		Parent Company Name, if applicable  Center for Human Development		
Name and Title of Contact at Project Sponsor Agency	Rose Maloof, Program Director			
Email Address	Maloof@chd.org			
Business Address	425 Union Street, Suite. 6			
City, County, State, Zip,	West Springfield, Hampden County, MA 01089			
Phone Number (with area code)	413-306-5164			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	04-250-3926		Fax Number (with area code)  413-306-5783	
DUN & Bradstreet Number (DUNs):	09-919-5695			
Congressional District of Project Sponsor's Business Address	MA 1 <sup>st</sup> Congressional District			
Congressional District(s) of Primary Service				

Area(s)	MA 1 <sup>st</sup> Congressional District	
City(ies) and County(ies) of Primary Service Area(s)	Cities: Springfield, Holyoke, Northampton	Counties: Hampden, Hampshire counties
Total HOPWA contract amount for this Organization for the operating year	\$48,500.00	
Organization's Website Address	<a href="http://www.chd.org">www.chd.org</a>	
<p>Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please check if yes and a faith-based organization. <input type="checkbox"/></p> <p>Please check if yes and a grassroots organization. <input type="checkbox"/></p>	<p>Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If yes, explain in the narrative section how this list is administered.</p>	

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and subrecipient.

**Note:** If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name  A Positive Place		Parent Company Name, <i>if applicable</i>  Cooley Dickinson Hospital		
Name and Title of Contact at Project Sponsor Agency	Betsy Shally-Jensen, Director			
Email Address	aidscarehamp@cooley-dickinson.org			
Business Address	P.O. Box 1299			
City, County, State, Zip,	Northampton, Hampshire County, MA 01061			
Phone Number ( <i>with area code</i> )	413-586-8288			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	22-2617 175	Fax Number (with area code)  413-586-8996		
DUN & Bradstreet Number (DUNs):	06-699-1605			
Congressional District of Project Sponsor's Business Address	MA 1 <sup>st</sup> Congressional District			
Congressional District(s) of Primary Service Area(s)	MA 1 <sup>st</sup> Congressional District			

City(ies) <u>and</u> County(ies) of Primary Service Area(s)	Cities: Northampton, Holyoke, Springfield, Greenfield, Turners Falls, Ware, Amherst, Chicopee, Easthampton	Counties: Hampden, Franklin and Hampshire counties
Total HOPWA contract amount for this Organization for the operating year	\$120,000.00	
Organization's Website Address	<a href="http://Cooley-dickinson.org/services/aids-care-hampshire-county">Cooley-dickinson.org/services/aids-care-hampshire-county</a>	
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/>  Please check if yes and a grassroots organization. <input type="checkbox"/>	Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  If yes, explain in the narrative section how this list is administered.	

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and subrecipient.

**Note:** If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name  New North Citizens Council		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Maria Perez, Coordinator			
Email Address	<a href="mailto:mperez@newnorthcc.org">mperez@newnorthcc.org</a>			
Business Address	2383 Main Street			
City, County, State, Zip,	Springfield, Hampden County, MA 01107			
Phone Number (with area code)	413-746-4885			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	23-7371934	Fax Number (with area code) 413-737-2321		
DUN & Bradstreet Number (DUNs):	937637718			
Congressional District of Project Sponsor's Business Address	MA 1 <sup>st</sup> Congressional District			
Congressional District(s) of Primary Service Area(s)	MA 1 <sup>st</sup> Congressional District			
City(ies) and County(ies) of Primary Service	Cities	Counties:		



Area(s)	Springfield	Hampden
Total HOPWA contract amount for this Organization for the operating year	\$121,296.00	
Organization's Website Address	<a href="http://Newnorthcc.org">Newnorthcc.org</a>	
<p>Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please check if yes and a faith-based organization. <input type="checkbox"/></p> <p>Please check if yes and a grassroots organization. <input type="checkbox"/></p>	<p>Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If yes, explain in the narrative section how this list is administered.</p>	

**2. Project Sponsor Information**

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

Project Sponsor Agency Name  River Valley Counseling Center		Parent Company Name, if applicable		
Name and Title of Contact at Project Sponsor Agency	Marianne Polmatier, Director			
Email Address	<a href="mailto:Polmatier_marianne@holyokeyhealth.com">Polmatier_marianne@holyokeyhealth.com</a>			
Business Address	120 Maple Street, Suite 301			
City, County, State, Zip,	Springfield, Hampden, MA 01103			
Phone Number (with area code)	413-737-2437			
Employer Identification Number (EIN) or Tax Identification Number (TIN)	04-2174657	Fax Number (with area code) (413)737-3521		
DUN & Bradstreet Number (DUNs):	602809733			
Congressional District of Project Sponsor's Business Address	MA 1 <sup>st</sup> Congressional District			
Congressional District(s) of Primary Service Area(s)	MA 1 <sup>st</sup> Congressional District			
City(ies) and County(ies) of Primary Service	Cities:		Counties:	

Area(s)	Springfield, Holyoke, Chicopee	Hampden
Total HOPWA contract amount for this Organization for the operating year	\$150,000.00	
Organization's Website Address	<a href="http://rvcc-inc.org">rvcc-inc.org</a>	
<p>Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please check if yes and a faith-based organization. <input type="checkbox"/></p> <p>Please check if yes and a grassroots organization. <input type="checkbox"/></p>	<p>Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If yes, explain in the narrative section how this list is administered.</p>	

**3. Administrative Subrecipient Information – N/A**

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

*Note: Please see the definitions for distinctions between project sponsor and subrecipient.*

*Note: If any information does not apply to your organization, please enter N/A.*

Subrecipient Name				Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNS):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

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**4. Program Subrecipient Information – N/A**

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

*Note: Please see the definition of a subrecipient for more information.*

*Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.*

*Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.*

Sub-recipient Name				Parent Company Name, if applicable
Name and Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) and County(ies) of Primary Service Area	Cities:	Counties:		

<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>		

## 5. Grantee Narrative and Performance Assessment

### a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. **Note:** *Text fields are expandable.*

The City of Springfield administers the **HOPWA** program for the three-county area of Hampden, Hampshire and Franklin Counties. In this area, the most recent available surveillance data (December 2012) indicates that there are 1900 reported cases of persons living with HIV/AIDS: 70 in Franklin County, 149 in Hampshire County, and 1681 in Hampden County. Hampden County includes the cities of Springfield, Holyoke and Chicopee.

In FY 15-16, recipients of HOPWA funding served 252 households. The funded agencies provided Tenant-Based Rental Assistance (TBRA) to 26 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 56 households; housing information to 54 households and advocacy/legal services and supportive services to 243 households.

The following agencies received HOPWA funds:

- 1. River Valley Counseling Center** is a licensed mental health clinic and a multi-service agency. The mission of RVCC's HIV/AIDS Project is to support those affected by HIV/AIDS and to promote community awareness of the issues surrounding HIV/AIDS. The Project provides: information, assessment and referral services; comprehensive, bi-lingual/bi-cultural case management for medical and social services; HIV/AIDS consumer support groups; access to the Positive Alliance Network, a program designed specifically to provide mental health services to minorities affected by HIV/AIDS; an array of housing services for HIV+ individuals; and membership to a drop-in center that provides a safe environment for HIV+ individuals to use a computer lab, access video and book libraries, prepare snacks and enjoy healthy congregate lunch meals. RVCC primarily serves residents of Hampden County, and has offices in Springfield and Holyoke. RVCC uses HOPWA funds to provide supportive housing and housing information services and advocacy. RVCC supplements its HOPWA funding with CoC program funds, which enable the organization to provide housing subsidies and supportive services to an additional 30 households. The contact person for RVCC's HOPWA program is Marianne Polmetier.
- 2. Center for Human Development HIV/AIDS Law Consortium.** The mission of the HIV/AIDS Law Consortium of Western Massachusetts is to assure access to legal services for individuals and families affected by HIV/AIDS. The Law Consortium is committed to educating both the legal community and the community at large about the legal rights of individuals and families affected by HIV/AIDS and advocating on behalf of people affected by HIV/AIDS to assure that their legal and human rights are preserved. The Law Consortium uses HOPWA funds to provide legal assistance in housing cases, and small group workshops to clients and case managers regarding housing issues. The contact person for the Law Consortium is Rose Maloof.
- 3. New North Citizen's Council** provides advocacy, public and human services to Hampden County residents with an emphasis on Hispanic/Latino community for the purpose of enhancing the preservation and support of the family resulting in the improvement of quality of life. NNCC uses HOPWA funds to provide shallow subsidies, rental assistance and supportive services to individuals who are HIV positive and are homeless or at risk of becoming



homeless. NNCC's contact program for the HOPWA program is Maria Perez.

- 4. Cooley Dickinson Hospital's A Positive Place** provides case management and comprehensive and confidential support services to people living with HIV infection, their families, and friends using a harm reduction philosophy. A Positive Place primarily serves residents of Hampshire County, and uses HOPWA funds to provide tenant-based rental assistance and support services. The contact person for A Positive Place is Betsy Shally-Jensen.

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

**2. Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

In FY15-16, recipients of HOPWA funding served 252 unduplicated households. The funded agencies provided Tenant-

Based Rental Assistance (TBRA) to 26 households; Short-Term Rent, Mortgage, and Utility (STRMU) Assistance to 56 households; housing information to 54 households and supportive services to 116 households.

**B. Annual Performance Under the Action Plan**

- 1. Outputs Reported.** During this year, HOPWA grantees assisted 252 unduplicated households. Over 40% of funds are used to provide Tenant-Based Rental Assistance or Short Term Rental, Utilities or Mortgage Assistance. The rest of the funds go to supportive services, including Rental Start-Up (first, last and security deposit) and legal assistance related to housing issues.

Grantees operate in all three counties that are covered by the HOPWA grant (Franklin, Hampshire and Hampden). The highest rates of HIV are in the cities located in Hampden County (Springfield, Holyoke and Chicopee). As a result, three of the four grantees are located within in Hampden County. Grantees are chosen through a competitive request for proposals process.

- 2. Outcomes Assessed.** The programs that provide TBRA and STRMU achieve housing stability of 94%. These programs also report success in improving access to health care.
- 3. Coordination.** Grantees are all members of the Springfield Hampden County Continuum of Care, and one of them is also a member of the Three-County (Franklin, Hampshire, Berkshire) Continuum of Care. The CoCs have independently created ten-year plans to end homelessness, and the two CoCs have come together to create a regional effort to end homelessness.

The HOPWA program and our other programs have not done any housing development. As part of our regional effort, we are encouraging housing developers and service providers to work together to create permanent supportive housing.

- 4. Technical Assistance.** Technical assistance is welcome at any time to update staff on any changes within the program.

**c. Barriers and Trends Overview**

**Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.**

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

The highest rates of HIV in our area are due to injection drug use, so persons with HIV tend to have barriers to obtaining stable housing that are linked to substance abuse—poor credit, negative landlord histories, and criminal records. Our subgrantees are trained to advocate for clients to help them overcome these barriers, and have also established strong relationships with individual landlords, which enable them to find units for hard-to-house households.

While it is possible to find affordable units in Hampden County, it can be harder to locate affordable units in Hampshire County, where there are numerous colleges, causing high demand for rental units. Our Hampshire County subgrantee is especially proactive in conducting housing search.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

The greatest challenge has been the scarcity of resources to serve the eligible population. Eligible participants generally have very low incomes, and require subsidized housing, as well as support services. There is not a sufficient supply of affordable housing. There are also insufficient supportive services for this population. Coupling these factors with an increased life expectancy results in a tremendously burdened system. These factors make it critically important that HOPWA providers continue to partner with mainstream providers of housing and health services.

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input checked="" type="checkbox"/> Criminal Justice History
<hr/>			
<input checked="" type="checkbox"/> Previous editions are obsolete	<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further

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3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

None.

**d. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

**Note:** Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

**Note:** In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

**1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households**

1. Total number of households that have unmet housing subsidy assistance need.	1809
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2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	
a. Tenant-Based Rental Assistance (TBRA)	744
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	1065
• Assistance with rental costs	472
• Assistance with mortgage payments	343
• Assistance with utility costs.	250
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	

**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

<input checked="" type="checkbox"/> = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
<input checked="" type="checkbox"/> = Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
<input checked="" type="checkbox"/> = Data from client information provided in Homeless Management Information Systems (HMIS)
<input checked="" type="checkbox"/> = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
<input type="checkbox"/> = Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
<input type="checkbox"/> = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
<input checked="" type="checkbox"/> = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

**End of PART 1**

**PART 2: Sources of Leveraging and Program Income**

**1. Sources of Leveraging**

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

*Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.*

**A. Source of Leveraging Chart**

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	6,971.00	Housing Support And Advoc.	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White-Other	154,209.00	MCM and Peer Services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: MDPH	143,424.00	Case management	<input type="checkbox"/> Housing Subsidy Assistance

		Medical Transportation	<input checked="" type="checkbox"/> Other Support
Other Public: EEOHS	4,452.00	Legal services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
<b>Private Funding</b>			
Grants	3,040.00	Case management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
In-kind Resources	154,000.00	Case management Legal services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:	750.00	Found. For emer. Food vouchers + OTC	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
<b>Other Funding</b>			
Grantee/Project Sponsor/Subrecipient (Agency) Cash	1,972.00	Legal services	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
<b>TOTAL (Sum of all Rows)</b>	<b>468,818.00</b>		

**2. Program Income and Resident Rent Payments N/A**

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

*Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

**A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year**

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	
2.	Resident Rent Payments made directly to HOPWA Program	
3.	<b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b>	

**B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households**

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	



2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	
3.	Total Program Income Expended (Sum of Rows 1 and 2)	

**End of PART 2**

**PART 3: Accomplishment Data Planned Goal and Actual Outputs**

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

**Note:** The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

**1. HOPWA Performance Planned Goal and Actual Outputs**

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	23	26			144,894.00	137,426.00
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)						
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)						
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	50	56			41,600.00	41,600.00
5.	Permanent Housing Placement Services						

6.	Adjustments for duplication (subtract)						
7.	<b>Total HOPWA Housing Subsidy Assistance</b> (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	73	82			186,494.00	179,026.00
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	<b>Total Housing Developed</b>  (Sum of Rows 8 & 9)						
<b>Supportive Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	73	82			156,702.00	158,721.00
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.	107	116			45,105.00	45,105.00
12.	Adjustment for duplication (subtract)	73	82				
13.	<b>Total Supportive Services</b> (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	107	116			201,807.00	203,826.00
<b>Housing Information Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
14.	Housing Information Services	50	54			20,114.00	20,114.00
15.	<b>Total Housing Information Services</b>	50	54			20,114.00	20,114.00

Grant Administration and Other Activities		[1] Output Households			[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources					
17.	Technical Assistance (if approved in grant agreement)					
18.	Grantee Administration (maximum 3% of total HOPWA grant)				13,501.00	13,501.00
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)				27,386.00	26,231.00
20.	<b>Total Grant Administration and Other Activities</b>				40,887.00	39,732.00
<b>Total Expended</b>					<b>[2] Outputs: HOPWA Funds Expended</b>	
					<b>Budget</b>	<b>Actual</b>
21.	<b>Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)</b>				449,302.0	442,698.00

## 2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

**Data check:** Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of Households	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	124	158,721.00
4.	Child care and other child services		
5.	Education		

6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services	74	45,105.00
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	<b>Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)</b>	198	
16.	<b>Adjustment for Duplication (subtract)</b>	82	
17.	<b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b>	116	203,826.00

### 3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total

number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

**Data Check:** The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

**Data Check:** The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	56	41,600.00
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.		
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.		
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	56	41,600.00
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.		
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.		
g.	Direct program delivery costs (e.g., program operations staff time)		

**End of PART 3**

**Part 4: Summary of Performance Outcomes**

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type.

In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

*Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].*

*Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.*

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

**A. Permanent Housing Subsidy Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	26	23	1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing	2	Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		Unstable Arrangements
			8 Disconnected/Unknown		
			9 Death	1	Life Event
Permanent Supportive Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		Unstable Arrangements
			8 Disconnected/Unknown		
			9 Death		Life Event

**B. Transitional Housing Assistance**



	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units			1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		<i>Unstable Arrangements</i>
			7 Jail/Prison		
			8 Disconnected/unknown		
			9 Death		<i>Life Event</i>
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months					

## Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness

### (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient's best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

**Data Check:** The sum of Column [2] should equal the number of households reported in Column [1].

### Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
56	Maintain Private Housing <u>without</u> subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	0	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	56	
	Other HOPWA Housing Subsidy Assistance	0	
	Other Housing Subsidy (PH)	0	
	Institution <i>(e.g. residential and long-term care)</i>	0	
	Likely that additional STRMU is needed to maintain current housing arrangements	0	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>	0	
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>	0	
	Emergency Shelter/street	0	<i>Unstable Arrangements</i>
	Jail/Prison	0	
	Disconnected	0	
	Death	0	<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			5
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			5

**Section 3. HOPWA Outcomes on Access to Care and Support**

**1a. Total Number of Households**

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

*Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.*

<b>Total Number of Households</b>	
<b>1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:</b>	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	82
b. Case Management	82
c. Adjustment for duplication (subtraction)	82
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	82
<b>2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:</b>	
a. HOPWA Case Management	42
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	42

**1b. Status of Households Accessing Care and Support**

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

**Note:** For information on types and sources of income and medical insurance/assistance, refer to Charts below.

<b>Categories of Services Accessed</b>	<b>[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:</b>	<b>[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:</b>	<b>Outcome Indicator</b>
1. Has a housing plan for maintaining or establishing stable on-going housing	82	42	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	82	42	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	82	42	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	82	42	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	82	42	<i>Sources of Income</i>

**Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)**

- |   |  |  |
|---|--|--|
| <ul style="list-style-type: none"> <li>• MEDICAID Health Insurance Program, or use local program name</li> <li>• MEDICARE Health Insurance Program, or</li> </ul> | <ul style="list-style-type: none"> <li>• Veterans Affairs Medical Services</li> <li>• AIDS Drug Assistance Program (ADAP)</li> <li>• State Children's Health Insurance Program (SCHIP), or use local program name</li> </ul> | <ul style="list-style-type: none"> <li>• Ryan White-funded Medical or Dental Assistance</li> </ul> |
|---|--|--|

use local program name

**Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)**

- |   |  |  |
|---|--|--|
| <ul style="list-style-type: none"> <li>• Earned Income</li> <li>• Veteran’s Pension</li> <li>• Unemployment Insurance</li> <li>• Pension from Former Job</li> <li>• Supplemental Security Income (SSI)</li> </ul> | <ul style="list-style-type: none"> <li>• Child Support</li> <li>• Social Security Disability Income (SSDI)</li> <li>• Alimony or other Spousal Support</li> <li>• Veteran’s Disability Payment</li> <li>• Retirement Income from Social Security</li> <li>• Worker’s Compensation</li> </ul> | <ul style="list-style-type: none"> <li>• General Assistance (GA), or use local program name</li> <li>• Private Disability Insurance</li> <li>• Temporary Assistance for Needy Families (TANF)</li> <li>• Other Income Sources</li> </ul> |
|---|--|--|

**1c. Households that Obtained Employment**

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

*Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.*

*Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.*

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	10	2

End of PART 4

**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)	25	0	0	1
Permanent Facility-based Housing Assistance/Units	0	0	0	0
Transitional/Short-Term Facility-based Housing Assistance/Units	0	0	0	0
<b>Total Permanent HOPWA Housing Subsidy Assistance</b>	<b>25</b>	<b>0</b>	<b>0</b>	<b>1</b>
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	52	0	4	0
<b>Total HOPWA Housing Subsidy Assistance</b>	<b>52</b>	<b>0</b>	<b>4</b>	<b>0</b>

**Background on HOPWA Housing Stability Codes**

### **Stable Permanent Housing/Ongoing Participation**

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

### **Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

### **Unstable Arrangements**

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

### **Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

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**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**



**PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

*Note: See definition of Stewardship Units.*

**1. General information**

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

**2. Number of Units and Non-HOPWA Expenditures**

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
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Total Stewardship Units (subject to 3- or 10- year use periods)		
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### 3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b>  Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency <i>(person who can answer questions about the report and program)</i>	Contact Phone (with area code)

**Part 7: Summary Overview of Grant Activities**

**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

*Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).*

**Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**

**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	82

**Chart b. Prior Living Situation**

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating

year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

**Data Check:** The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	28
<b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	0
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	4
4.	Transitional housing for homeless persons	0
5.	<b>Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)</b>	<b>4</b>
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	0
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	1
9.	Hospital (non-psychiatric facility)	0
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	1
12.	Rented room, apartment, or house	27
13.	House you own	0
14.	Staying or living in someone else's (family and friends) room, apartment, or house	20

15.	Hotel or motel paid for without emergency shelter voucher	0
16.	Other	1
17.	Don't Know or Refused	0
18.	<b>TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)</b>	<b>82</b>

**c. Homeless Individual Summary**

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	2

**Section 2. Beneficiaries**

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

*Note: See definition of HOPWA Eligible Individual*

*Note: See definition of Transgender.*

*Note: See definition of Beneficiaries.*

**Data Check:** The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

**a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance**

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	82
2. Number of ALL other persons <b>diagnosed</b> as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	2
3. Number of ALL other persons <b>NOT diagnosed</b> as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	27
<b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, &amp; 3)</b>	<b>111</b>

**b. Age and Gender**

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

<b>HOPWA Eligible Individuals (Chart a, Row 1)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	3	1	0	0	4
3.	31 to 50 years	20	12	1	0	33
4.	51 years and Older	24	21	0	0	45
5.	<b>Subtotal (Sum of Rows 1-4)</b>	<b>47</b>	<b>34</b>	<b>1</b>	<b>0</b>	<b>82</b>
<b>All Other Beneficiaries (Chart a, Rows 2 and 3)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
6.	Under 18	5	5	0	0	10
7.	18 to 30 years	6	2	0	0	8
8.	31 to 50 years	5	3	0	0	8
9.	51 years and Older	2	1	0	0	3
10.	<b>Subtotal (Sum of</b>	<b>18</b>	<b>11</b>	<b>0</b>	<b>0</b>	<b>29</b>

	Rows 6-9)					
<b>Total Beneficiaries (Chart a, Row 4)</b>						
11.	<b>TOTAL (Sum of Rows 5 &amp; 10)</b>	<b>65</b>	<b>45</b>	<b>1</b>	<b>0</b>	<b>111</b>



**c. Race and Ethnicity\***

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	0	0	0	0
2.	Asian	0	0	0	0
3.	Black/African American	19	0	4	0
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	9	0	1	0
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	3	0	17	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	51	51	7	7
11.	Column Totals (Sum of Rows 1-10)	<b>82</b>	<b>51</b>	<b>29</b>	<b>7</b>
<i>Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.</i>					

*\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)*

### Section 3. Households

#### Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

**Data Check:** The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

**Note:** Refer to [http://www.huduser.org/portal/datasets/il/il2010/select\\_Geography\\_mfi.odn](http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn) for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	79
2.	31-50% of area median income (very low)	2
3.	51-80% of area median income (low)	1
4.	Total (Sum of Rows 1-3)	82

**Part 7: Summary Overview of Grant Activities**

**B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

**1. Project Sponsor/Subrecipient Agency Name (Required)**

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**2. Capital Development**

**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

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Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	<b>Type of Facility [Check <u>only one</u> box.]</b> <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started:                      Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units =                      Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public

**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without</u> rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient**

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

*Note: The number units may not equal the total number of households served.*

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

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Type of housing facility operated by the project sponsor/subrecipient		Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility <u>Specify:</u>					

#### 4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <u>Specify:</u>		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		